

# Gödel's First Incompleteness Theorems for Non-Recursively Enumerable Theories

Conden Chao

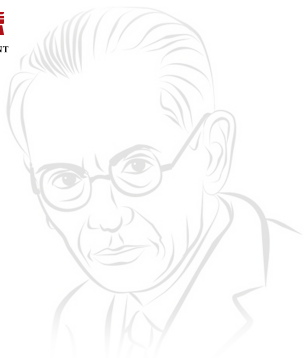
With some further work on "S. Salehi and P. Seraji 2015"



北京大学哲学系  
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To Commemorate the  
110 Anniversary of Gödel's Birth  
1906—2016



# Outline

- 1 Introduction: Gödel's First Incompleteness Theorem
- 2 Generalized Meta-theoretical Properties
- 3 Generalizing to Non-Recursively Enumerable Theories
- 4  $\Sigma_n$ -soundness is sufficient
- 5  $\Pi_n$ -soundness is also sufficient
- 6  $n$ -consistency is also sufficient
- 7 Consistency isn't sufficient
- 8 Conclusions: Diagrams for First Incompleteness



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# Introduction: Gödel's First Incompleteness Theorem

- Arithmetic language  $\mathcal{L}_A$ : non-logical symbols are  $\bar{0}$ ,  $\bar{S}$ ,  $\bar{+}$ ,  $\bar{\times}$  and equality symbol is  $\equiv$ .
- $\bar{n} = \bar{S}^n \bar{0} = \bar{S} \cdots \bar{S} \bar{0}$ . ( $n$  many  $\bar{S}$ )
- $x \bar{\leq} y$  is defined as  $\exists z(z \bar{+} x \equiv y)$  and  $x \bar{<} y$  is  $x \bar{\leq} y \wedge x \bar{\neq} y$ .
- The standard arithmetic model is  $\mathcal{N} = (\mathbb{N}, 0, 1, \dots, S, +, \times, \leq)$ .
- Robinson arithmetic is the theory  $Q$  whose axioms are as follows

$$Q_1 : \forall x \bar{S} x \bar{\neq} \bar{0};$$

$$Q_2 : \forall x \forall y (\bar{S} x \equiv \bar{S} y \rightarrow x \equiv y);$$

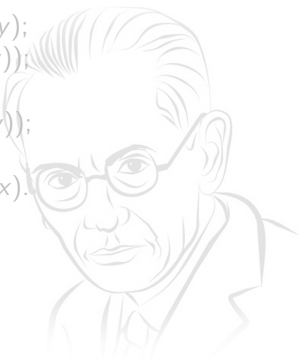
$$Q_3 : \forall x (x \bar{\neq} \bar{0} \rightarrow \exists y (x \equiv \bar{S} y));$$

$$Q_4 : \forall x (x \bar{+} \bar{0} \equiv x);$$

$$Q_5 : \forall x \forall y (x \bar{+} \bar{S} y \equiv \bar{S} (x \bar{+} y));$$

$$Q_6 : \forall x (x \bar{\times} \bar{0} \equiv \bar{0});$$

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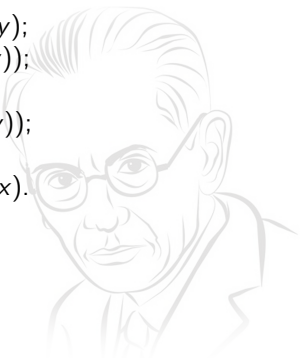
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- $(\Sigma_n, \Pi_n$  and  $\Delta_n)$  Fix our arithmetic language  $\mathcal{L}_A$  (notably that  $\bar{n}$  ( $n > 0$ ) and  $\bar{\leq}$  are not non-logical symbols of it). The formulas  $\Delta_0 = \Sigma_0 = \Pi_0$  is defined as follows:

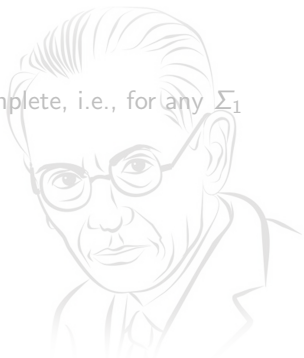
- \* all the atomic formulas such as  $\tau \equiv \sigma$ , where  $\tau, \sigma$  are terms, belong to  $\Delta_0$ ;
- \* if  $\phi, \psi \in \Delta_0$ , then so  $\neg\phi, \phi \wedge \psi, \phi \vee \psi \in \Delta_0$ ;
- \* if  $\tau$  is a term with  $x \notin \text{Vr}(\tau)$ , and  $\phi \in \Delta_0$ , then so  $\forall x \bar{\leq} \tau \phi, \exists x \bar{\leq} \tau \phi \in \Delta_0$ .

And recursively we can define  $\Sigma_n, \Pi_n$  and  $\Delta_n$  sets of formulas:

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- For all  $n \in \mathbb{N}$ ,  $\Sigma_n \cup \Pi_n \subseteq \Sigma_{n+1} \cap \Pi_{n+1}$ .

- ( $\Sigma_1$ -completeness of Q) If  $T \supseteq Q$ , then  $T$  is  $\Sigma_1$ -complete, i.e., for any  $\Sigma_1$  sentence  $\phi$  if  $\mathcal{N} \models \phi$  then  $T \vdash \phi$ .



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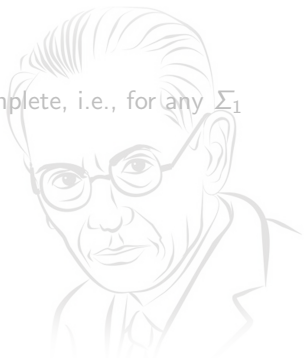
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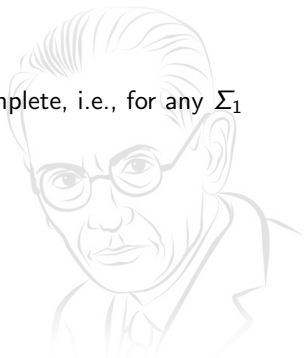
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- A  $k$ -ary predicate  $P \subseteq \mathbb{N}^k$  is representable in  $T$  if, there is a formula  $\phi(\vec{x})$  such that for any  $n_0, \dots, n_{k-1} \in \mathbb{N}$

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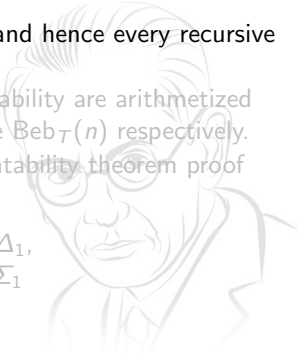
- A function  $f : \mathbb{N}^k \rightarrow \mathbb{N}$  is representable in  $T \supseteq Q$  if, there is a formula  $\phi(\vec{x}, y)$  such that for any  $n_0, \dots, n_{k-1} \in \mathbb{N}$

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- (Representability Theorem) Any recursive function (and hence every recursive predicate) is representable in  $T \supseteq Q$  and  $\Delta_1$ .
- If  $T$  is recursively axiomatizable then proof and provability are arithmetized as a binary predicate  $\text{Be}_T(m, n)$  and a unary predicate  $\text{Beb}_T(n)$  respectively.
- And so if  $T$  is recursively axiomatizable, by Representability theorem proof and provability can be expressed by formulas

$$\begin{aligned} \text{be}_T(x, y) &\in \Delta_1, \\ \text{beb}_T(y) = \exists x \text{be}_T(x, y) &\in \Sigma_1 \end{aligned}$$

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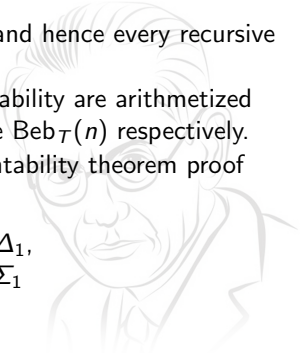
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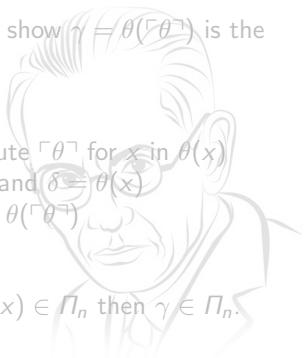
- The natural number  $\ulcorner\phi\urcorner$  is the Gödel's code of  $\phi$  and  $\ulcorner\phi\urcorner = \overline{\ulcorner\phi\urcorner} = \bar{S}^{\#\phi}\bar{0}$  is the term corresponding to the natural number  $\ulcorner\phi\urcorner$ .
- (Fixed Point Lemma) Given any  $\mathcal{L}_A$  formula  $\phi(x)$  with  $\text{Fr}(\phi) = \{x\}$  and a theory  $T \supseteq Q$ , we can effectively find a  $\gamma$  such that  $T \vdash \gamma \leftrightarrow \phi(\ulcorner\gamma\urcorner)$ .  
*Proof.* Suppose  $x_0, x_1, y \neq x$  and  $\psi(x_0, y, x_1)$  represents sub in  $T$ . For any  $\delta(x)$  and any  $n \in \mathbb{N}$ ,  $T \vdash \psi(\ulcorner\delta\urcorner, y, \bar{n}) \leftrightarrow y \equiv \ulcorner\delta(\bar{n})\urcorner$ . Setting  $n = \ulcorner\delta\urcorner$ ,

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- For all  $n \geq 1$ , if  $\phi(x) \in \Sigma_n$  then  $\gamma \in \Pi_{n+1}$ , and if  $\phi(x) \in \Pi_n$  then  $\gamma \in \Pi_n$ .



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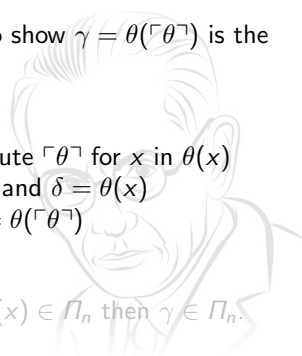
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# Introduction: Gödel's First Incompleteness Theorem

## Definition 1.1

$T$  is  $\omega$ -consistent if, there is no  $\phi$  with  $\phi = \exists x\psi(x)$  such that  $T \vdash \exists x\psi(x)$  and  $T \vdash \neg\psi(\bar{m})$  for all  $m \in \mathbb{N}$ .

## Theorem 1.2 (Gödel's First Incompleteness)

Let  $T \supseteq Q$  be a recursively axiomatizable theory. If  $T$  is  $\omega$ -consistent, then there is a  $\Pi_1$  sentence  $\gamma$  such that  $T \not\vdash \gamma$  and  $T \not\vdash \neg\gamma$ .

## Proof.

Let  $\gamma$  be the fixed point of  $\neg\text{beb}(y)$ . Then

$$T \vdash \gamma \leftrightarrow \neg\text{beb}(\ulcorner \gamma \urcorner). \quad (2)$$

$\gamma$  is as desired: (a) If  $T \vdash \gamma$ , then  $\mathcal{N} \models \text{beb}(\ulcorner \gamma \urcorner)$ , and  $T \vdash \text{beb}(\ulcorner \gamma \urcorner)$  by  $\Sigma_1$ -completeness. But by (2) we have  $T \vdash \neg\text{beb}(\ulcorner \gamma \urcorner)$ , a contradiction. So  $T \not\vdash \gamma$ ; (b) If  $T \vdash \neg\gamma$ , then by (2) we have  $T \vdash \text{beb}(\ulcorner \gamma \urcorner)$ . Since  $T \not\vdash \gamma$ , then for any  $n \in \mathbb{N}$  we have  $\neg\text{Be}(n, \ulcorner \gamma \urcorner)$ , and by representability  $T \vdash \neg\text{be}(\bar{n}, \ulcorner \gamma \urcorner)$  for any  $n \in \mathbb{N}$ . By the  $\omega$ -consistency of  $T$ ,  $T \not\vdash \exists x\text{be}(x, \ulcorner \gamma \urcorner)$ , i.e.,  $T \not\vdash \text{beb}(\ulcorner \gamma \urcorner)$ , a contradiction. So  $T \not\vdash \neg\gamma$ . □

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- The condition that  $T$  is recursively axiomatizable allows us to use a  $\Sigma_1$  formula to express  $T$  in  $\mathcal{L}_A$ , and so we may write it as  $\text{Axiom}_T \in \Sigma_1$ .
- $\omega$ -consistency was weakened by G. Kreisel as 1-consistency: there is no  $\phi \in \Sigma_1$  with  $\phi = \exists x\psi(x)$  for some  $\psi(x) \in \Pi_0$  such that  $T \vdash \exists x\psi(x)$  and  $T \vdash \neg\psi(\bar{m})$  for all  $m \in \mathbb{N}$ .
- The conclusion could be written as  $T$  isn't  $\Pi_1$ -deciding ( $T$  is  $\Pi_1$ -deciding if for any  $\phi \in \Pi_1$  either  $T \vdash \phi$  or  $T \vdash \neg\phi$ ).



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- The conclusion could be written as  $T$  isn't  $\Pi_1$ -deciding ( $T$  is  $\Pi_1$ -deciding if for any  $\phi \in \Pi_1$  either  $T \vdash \phi$  or  $T \vdash \neg\phi$ ).

## Corollary 1.3

- 1 If  $Q \subseteq T$  and  $\text{Axiom}_T \in \Sigma_1$  and  $T$  is 1-consistent, then  $T$  isn't  $\Pi_1$ -deciding.
- 2 If  $Q \subseteq T$  and  $\text{Axiom}_T \in \Sigma_1$  and  $T$  is  $\Sigma_1$ -sound, then  $T$  isn't  $\Pi_1$ -deciding.

## Proof.

(2)  $\Sigma_1$ -soundness ( $T$  is  $\Sigma_1$ -sound if, for any  $\phi \in \Sigma_1$  with  $T \vdash \phi$  we have  $\mathcal{N} \models \phi$ ) is stronger than 1-consistency. □



# Introduction: Gödel's First Incompleteness Theorem

## Theorem 1.4 (Gödel-Rosser's First Incompleteness)

*Let  $T \supseteq Q$  be a recursively axiomatizable theory. If  $T$  is consistent, then there is a  $\Pi_1$  sentence  $\gamma$  such that  $T \not\vdash \gamma$  and  $T \not\vdash \neg\gamma$ .*



# Introduction: Gödel's First Incompleteness Theorem

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Similarly, since  $\Sigma_0$ -soundness is equivalent to consistency whence  $Q \subseteq T$ , Gödel-Rosser's First Incompleteness theorem could be written as

## Theorem 1.5

If  $Q \subseteq T$  and  $\text{Axiom}_T \in \Sigma_1$  and  $T$  is  $\Sigma_0$ -sound, then  $T$  isn't  $\Pi_1$ -deciding.

## Corollary 1.6

- 1 If  $Q \subseteq T$  and  $\text{Axiom}_T \in \Sigma_1$  and  $T$  is  $\Pi_1$ -sound, then  $T$  isn't  $\Pi_1$ -deciding.
- 2 If  $Q \subseteq T$  and  $\text{Axiom}_T \in \Sigma_1$  and  $T \subseteq \text{Th}(\mathcal{N})$ , then  $T$  isn't  $\Pi_1$ -deciding.

# Outline

- 1 Introduction: Gödel's First Incompleteness Theorem
- 2 Generalized Meta-theoretical Properties
- 3 Generalizing to Non-Recursively Enumerable Theories
- 4  $\Sigma_n$ -soundness is sufficient
- 5  $\Pi_n$ -soundness is also sufficient
- 6  $n$ -consistency is also sufficient
- 7 Consistency isn't sufficient
- 8 Conclusions: Diagrams for First Incompleteness



# Generalized Meta-theoretical Properties

## Notation 2.1

Let  $T$  be a theory and  $\Gamma$  a set of sentences, then

$$\Gamma(\mathcal{N}) = \{\phi \in \Gamma \mid \mathcal{N} \models \phi\}$$

where  $\mathcal{N}$  is the standard arithmetic model. And so  $\Sigma_n(\mathcal{N})$  and  $\Pi_n(\mathcal{N})$  denotes  $\Sigma_n$  sentences and  $\Pi_n$  sentences respectively true in  $\mathcal{N}$ .



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## Lemma 2.2 (Cf. Corollary 1.76 of [6])

- 1  $\Sigma_n(\mathcal{N})$  is defined by a  $\Sigma_n$  formula  $\Sigma_n\text{-True}(x)$ .
- 2  $\Pi_n(\mathcal{N})$  is defined by a  $\Pi_n$  formula  $\Pi_n\text{-True}(x)$ .

## Remark 2.3

$\phi \in \Sigma_n(\mathcal{N})$  iff  $\mathcal{N} \models \Sigma_n\text{-True}(\ulcorner \phi \urcorner)$  and  $\phi \in \Pi_n(\mathcal{N})$  iff  $\mathcal{N} \models \Pi_n\text{-True}(\ulcorner \phi \urcorner)$ .

# Generalized Meta-theoretical Properties

## Definition 2.4 ( $\Gamma$ -consistency)

Let  $T$  be a theory and  $\Gamma$  a set of sentences, then  $T$  is  $\Gamma$ -consistent with if  $T + \Gamma$  is consistent.



# Generalized Meta-theoretical Properties

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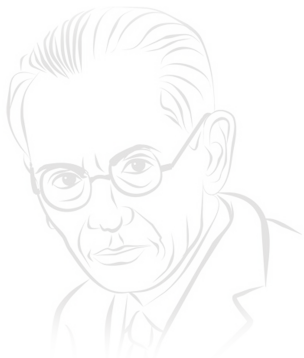
- We will survey the relations between  $\Sigma_n(\mathcal{N})$ - and  $\Pi_n(\mathcal{N})$ -consistency later.



# Generalized Meta-theoretical Properties

## Definition 2.5 ( $\Gamma$ -deciding)

Let  $T$  be a theory and  $\Gamma$  a set of sentences, then  $T$  is  $\Gamma$ -deciding if, for any  $\phi \in \Gamma$  either  $T \vdash \phi$  or  $T \vdash \neg\phi$ ; otherwise  $T$  isn't  $\Gamma$ -deciding.





# Generalized Meta-theoretical Properties

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## Lemma 2.6

- 1  $\Sigma_{n+1}$ -deciding implies  $\Pi_n$ -deciding and  $\Sigma_n$ -deciding;
- 2  $\Pi_{n+1}$ -deciding implies  $\Sigma_n$ -deciding and  $\Pi_n$ -deciding;
- 3  $\Sigma_n$ -deciding is equivalent to  $\Pi_n$ -deciding
- 4 Syntactic completeness implies  $\Sigma_n$ -deciding and  $\Pi_n$ -deciding.



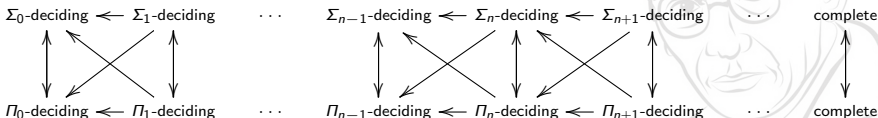
# Generalized Meta-theoretical Properties

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- 4 Syntactic completeness implies  $\Sigma_n$ -deciding and  $\Pi_n$ -deciding.



# Generalized Meta-theoretical Properties

## Definition 2.7 ( $n$ -consistency)

Let  $T$  be a theory and  $\Gamma$  a set of sentences.

- $T$  is  $\omega$ -consistent if, there is no  $\phi$  with  $\phi = \exists x\psi(x)$  such that  $T \vdash \exists x\psi(x)$  and  $T \vdash \neg\psi(\bar{m})$  for all  $m \in \mathbb{N}$ ; otherwise  $T$  is  $\omega$ -inconsistent.
- $T$  is  $n$ -consistent if, there is no  $\phi \in \Sigma_n$  with  $\phi = \exists x\psi(x)$  for some  $\psi(x) \in \Pi_{n-1}$  such that  $T \vdash \exists x\psi(x)$  and  $T \vdash \neg\psi(\bar{m})$  for all  $m \in \mathbb{N}$ ; otherwise  $T$  is  $n$ -inconsistent.



# Generalized Meta-theoretical Properties

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- $T$  is  $n$ -consistent if, there is no  $\phi \in \Sigma_n$  with  $\phi = \exists x\psi(x)$  for some  $\psi(x) \in \Pi_{n-1}$  such that  $T \vdash \exists x\psi(x)$  and  $T \vdash \neg\psi(\bar{m})$  for all  $m \in \mathbb{N}$ ; otherwise  $T$  is  $n$ -inconsistent.

## Lemma 2.8

- 1  $n$ -consistency implies consistency;
- 2  $(n + 1)$ -consistency implies  $n$ -consistency.
- 3  $\omega$ -consistency implies  $n$ -consistency and consistency.



# Generalized Meta-theoretical Properties

## Definition 2.9 ( $\Gamma$ -soundness with respect to $\mathcal{N}$ )

Let  $T$  be a theory and  $\Gamma$  a set of sentences.

- $T$  is sound (with respect to  $\mathcal{N}$ ) if, for any  $\phi$  with  $T \vdash \phi$  we have  $\mathcal{N} \models \phi$ ; otherwise  $T$  isn't sound.
- $T$  is  $\Gamma$ -sound (with respect to  $\mathcal{N}$ ) if, for any  $\phi \in \Gamma$  with  $T \vdash \phi$  we have  $\mathcal{N} \models \phi$ ; otherwise  $T$  isn't  $\Gamma$ -sound.



# Generalized Meta-theoretical Properties

## Lemma 2.10

- 1  $\Sigma_{n+1}$ -soundness implies  $\Sigma_n$ -soundness and  $\Pi_n$ -soundness;
- 2  $\Pi_{n+1}$ -soundness implies  $\Pi_n$ -soundness and  $\Sigma_n$ -soundness;
- 3  $\Sigma_n$ -soundness implies  $\Pi_{n+1}$ -soundness, and hence  $\Pi_n$ -soundness;
- 4 Soundness implies  $\Sigma_n$ -soundness and  $\Pi_n$ -soundness.



# Generalized Meta-theoretical Properties

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- 4 Soundness implies  $\Sigma_n$ -soundness and  $\Pi_n$ -soundness.

## Proof.

(3). Let  $\phi \in \Pi_{n+1}$  be such that  $\phi = \forall x\theta(x)$  for some  $\theta \in \Sigma_n$  and  $T \vdash \forall x\theta(x)$ . Then  $T \vdash \theta(\bar{m})$  for all  $m \in \mathbb{N}$ , and by  $\Sigma_n$ -soundness  $\mathcal{N} \models \theta(\bar{m})$  for all  $m \in \mathbb{N}$ . Hence  $\mathcal{N} \models \forall x\theta(x)$ . □



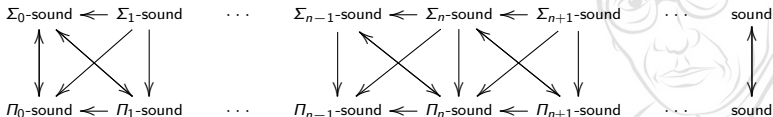
# Generalized Meta-theoretical Properties

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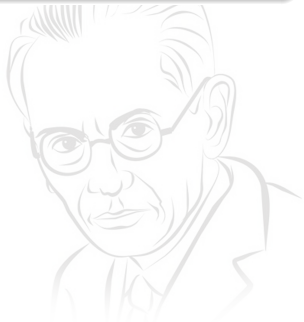


# Generalized Meta-theoretical Properties

## Definition 2.11 (completeness, and $\Gamma$ -completeness with respect to $\mathcal{N}$ )

Let  $T$  be a theory and  $\Gamma$  a set of sentences.

- $T$  is (syntactically) complete if, for any  $\phi$  either  $T \vdash \phi$  or  $T \vdash \neg\phi$ ; otherwise  $T$  isn't complete.
- $T$  is (semantically) complete (with respect to  $\mathcal{N}$ ) if, for any  $\phi$  with  $\mathcal{N} \models \phi$  we have  $T \vdash \phi$ ; otherwise  $T$  isn't complete.
- $T$  is (semantically)  $\Gamma$ -complete (with respect to  $\mathcal{N}$ ) if, for any  $\phi \in \Gamma$  with  $\mathcal{N} \models \phi$  we have  $T \vdash \phi$ ; otherwise  $T$  isn't  $\Gamma$ -complete.



# Generalized Meta-theoretical Properties

## Lemma 2.12

- 1  $\Sigma_{n+1}$ -completeness implies  $\Sigma_n$ -completeness and  $\Pi_n$ -completeness;
- 2  $\Pi_{n+1}$ -completeness implies  $\Pi_n$ -completeness and  $\Sigma_n$ -completeness;
- 3  $\Sigma_n$ -completeness doesn't imply  $\Pi_n$ -completeness;
- 4  $\Pi_n$ -completeness implies  $\Sigma_{n+1}$ -completeness, and hence  $\Sigma_n$ -completeness;
- 5 Semantical completeness implies  $\Sigma_n$ -completeness and  $\Pi_n$ -completeness.



# Generalized Meta-theoretical Properties

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- 5 Semantical completeness implies  $\Sigma_n$ -completeness and  $\Pi_n$ -completeness.

## Proof.

- (3)  $\mathcal{Q}$  is  $\Sigma_1$ -complete but not  $\Pi_1$ -complete (by Gödel's First Incompleteness).
- (4) Let  $\phi \in \Sigma_{n+1}$  be such that  $\phi = \exists x\theta(x)$  for some  $\theta \in \Pi_n$  and  $\mathcal{N} \models \exists x\theta(x)$ . So  $\mathcal{N} \models \theta(\bar{m})$  for some  $m \in \mathbb{N}$ . By  $\Pi_n$ -complete  $T \vdash \theta(\bar{m})$ . Hence  $T \vdash \phi$ .  $\square$



# Generalized Meta-theoretical Properties

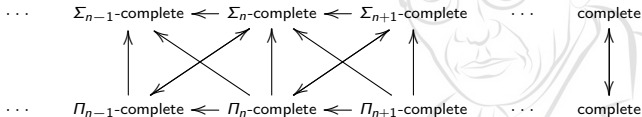
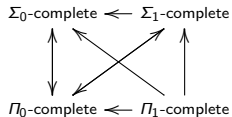
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- 3  $\Sigma_n$ -completeness doesn't imply  $\Pi_n$ -completeness;
- 4  $\Pi_n$ -completeness implies  $\Sigma_{n+1}$ -completeness, and hence  $\Sigma_n$ -completeness;
- 5 Semantical completeness implies  $\Sigma_n$ -completeness and  $\Pi_n$ -completeness.

## Proof.

(3)  $\mathcal{Q}$  is  $\Sigma_1$ -complete but not  $\Pi_1$ -complete (by Gödel's First Incompleteness).

(4) Let  $\phi \in \Sigma_{n+1}$  be such that  $\phi = \exists x\theta(x)$  for some  $\theta \in \Pi_n$  and  $\mathcal{N} \models \exists x\theta(x)$ . So  $\mathcal{N} \models \theta(\bar{m})$  for some  $m \in \mathbb{N}$ . By  $\Pi_n$ -completeness  $T \vdash \theta(\bar{m})$ . Hence  $T \vdash \phi$ .  $\square$



# Generalized Meta-theoretical Properties

## Lemma 2.13

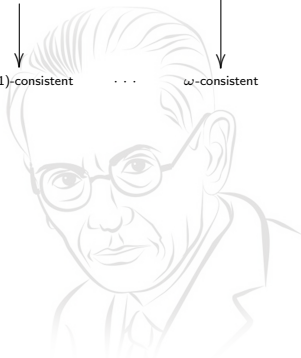
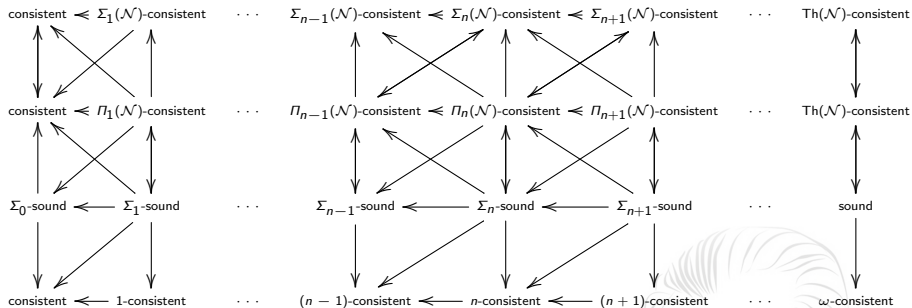
- 1 *Soundness is equivalent to  $\text{Th}(\mathcal{N})$ -consistency, and  $\mathcal{N} \models T$ ;*
- 2  *$\Pi_n$ -soundness is equivalent to  $\Sigma_n(\mathcal{N})$ -consistency for all  $n \in \mathbb{N}$ ;*
- 3  *$\Sigma_n$ -soundness is equivalent to  $\Pi_n(\mathcal{N})$ -consistency for all  $n \in \mathbb{N}$ ;*
- 4  *$\Sigma_n$ -soundness implies  $n$ -consistency for all  $n \in \mathbb{N}$ ;*
- 5  *$n$ -consistency doesn't imply  $\Sigma_n$ -soundness for all  $n \geq 3$ ;*
- 6  *$n$ -consistency and  $\Sigma_{n-1}$ -completeness imply  $\Sigma_n$ -soundness for all  $n \in \mathbb{N}$ .*

And if  $Q \subseteq T$ , then

- 7  *$\Sigma_2$ -soundness is equivalent to 2-consistency;*
- 8  *$\Sigma_1$ -soundness is equivalent to 1-consistency;*
- 9  *$\Sigma_0$ -soundness is equivalent to consistency.*



# Generalized Meta-theoretical Properties



# Generalized Meta-theoretical Properties

## Definition 2.14 ( $\Gamma$ -definable theories)

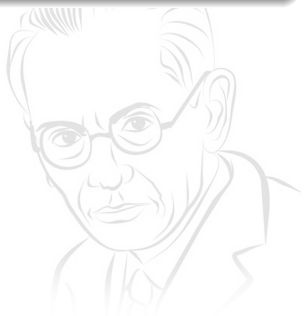
Let  $T$  be a theory and  $\Gamma$  a set of formulas.

- $T$  is definable if there is some  $\Omega$  of sentences axiomatizing  $T$  and some formula  $\text{Axiom}_T(x)$  such that

$$\Omega = \{\phi \mid \mathcal{N} \models \text{Axiom}_T(\ulcorner \phi \urcorner) \text{ and } \phi \text{ is a sentence}\}.$$

- $T$  is  $\Gamma$ -definable if there is some  $\Omega$  of sentences axiomatizing  $T$  and some formula  $\text{Axiom}_T(x) \in \Gamma$  such that

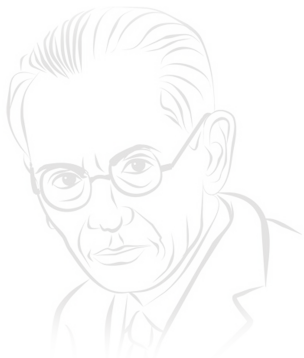
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# Generalized Meta-theoretical Properties

## Lemma 2.15

- 1  $\Sigma_n$ -definability implies  $\Sigma_{n+1}$ - and  $\Pi_{n+1}$ -definability;
- 2  $\Pi_n$ -definability implies  $\Pi_{n+1}$ - and  $\Sigma_{n+1}$ -definability;
- 3  $\Sigma_{n+1}$ -definability implies  $\Pi_n$ -definability;
- 4  $T$  is recursively enumerable iff  $T$  is  $\Sigma_0$ -definable iff  $T$  is  $\Sigma_1$ -definable.





# Generalized Meta-theoretical Properties

## Lemma 2.15

- 1  $\Sigma_n$ -definability implies  $\Sigma_{n+1}$ - and  $\Pi_{n+1}$ -definability;
- 2  $\Pi_n$ -definability implies  $\Pi_{n+1}$ - and  $\Sigma_{n+1}$ -definability;
- 3  $\Sigma_{n+1}$ -definability implies  $\Pi_n$ -definability;
- 4  $T$  is recursively enumerable iff  $T$  is  $\Sigma_0$ -definable iff  $T$  is  $\Sigma_1$ -definable.

## Proof I.

(3) Suppose  $T$  is axiomatized by  $\Omega$  and  $\text{Axiom}_T(x) = \exists x_1 \cdots \exists x_m \psi(x, x_1, \dots, x_m)$  with  $\psi \in \Pi_n$  defines  $\# \Omega$ . Then  $\text{Axiom}_T(x)$  is equivalent to  $\exists y \delta(x, y)$  with  $\delta(x, y) = \exists x_1 \leq y \cdots \exists x_m \leq y \psi(x, x_1, \dots, x_m) \in \Pi_n$ . So

$$\Omega' = \{ \phi \wedge (\bar{k} \equiv \bar{k}) \mid \mathcal{N} \models \delta(\ulcorner \phi \urcorner, \bar{k}) \text{ and } \phi \in \Omega \}$$

also axiomatizes  $T$ . And it's easy to see that  $\Omega'$  is defined by the  $\Pi_n$  formula

$$\text{Axiom}'_T(x) = \exists y \leq x \exists z \leq x [\delta(x, y) \wedge (x \equiv \ulcorner \gamma_y \urcorner \wedge (\gamma_z \equiv \gamma_z)^\top)],$$

where  $\gamma_y$  is the formula by encoding  $y$  and  $\gamma_z$  is the term by encoding  $z$ .

# Generalized Meta-theoretical Properties

## Proof II.

(4) Clearly ' $\Sigma_1$ -definability  $\implies \Sigma_0$ -definability' follows from (3) and ' $\Sigma_0$ -definability  $\implies$  Recursive enumerability' is trivial. While 'Recursive enumerability  $\implies \Sigma_1$ -definability' follows from the following claim.

*If  $T$  is recursively enumerable then  $T$  is axiomatized by a recursive set.*

Suppose  $T$  is axiomatized by a recursively enumerable set  $\Omega$ . Then there is some effective algorithm enumerating  $\Omega$  as  $\phi_1, \phi_2, \dots$ . For any  $n$ , let

$$\psi_n =_{df} \underbrace{\phi_n \wedge (\phi_n \wedge \dots)}_{n \text{ many}}.$$

and  $\Omega'$  be the set of such  $\psi_n$ . Clearly  $T$  is axiomatized by the recursive  $\Omega'$ . □



# Generalized Meta-theoretical Properties

## Proof II.

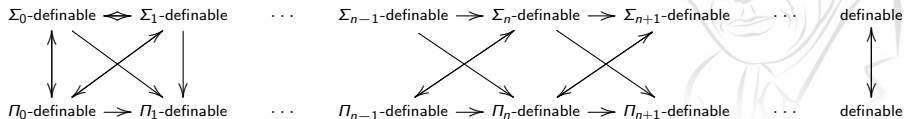
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# Outline

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- 2 Generalized Meta-theoretical Properties
- 3 Generalizing to Non-Recursively Enumerable Theories**
- 4  $\Sigma_n$ -soundness is sufficient
- 5  $\Pi_n$ -soundness is also sufficient
- 6  $n$ -consistency is also sufficient
- 7 Consistency isn't sufficient
- 8 Conclusions: Diagrams for First Incompleteness



# Generalizing to Non-Recursively Enumerable Theories

## Notation 3.1

Suppose the set of axioms for  $T$  is defined by  $\text{Axiom}_T(x)$  and  $Q \subseteq T$ . We define  $\text{be}(x, y)_T$  and  $\text{beb}_T(y)$  corresponding to concepts 'proof in  $T$ ' and 'provable in  $T$ ' respectively as:

$$\begin{aligned}\text{be}_T(x, y) &=_{df} \quad \exists x_1 \cdots \exists x_k (\text{Axiom}_T(x_1) \wedge \cdots \wedge \text{Axiom}_T(x_k) \wedge \text{be}_Q(x, \ulcorner \chi_{x_1} \wedge \cdots \wedge \chi_{x_k} \rightarrow \chi_y \urcorner)), \\ \text{beb}_T(y) &=_{df} \quad \exists x \exists x_1 \cdots \exists x_k (\text{Axiom}_T(x_1) \wedge \cdots \wedge \text{Axiom}_T(x_k) \wedge \text{be}_Q(x, \ulcorner \chi_{x_1} \wedge \cdots \wedge \chi_{x_k} \rightarrow \chi_y \urcorner)).\end{aligned}$$

where  $\chi_x$  is the formula by encoding  $x$ .



# Generalizing to Non-Recursively Enumerable Theories

## Notation 3.1

Suppose the set of axioms for  $T$  is defined by  $\text{Axiom}_T(x)$  and  $Q \subseteq T$ . We define  $\text{be}(x, y)_T$  and  $\text{beb}_T(y)$  corresponding to concepts 'proof in  $T$ ' and 'provable in  $T$ ' respectively as:

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where  $\chi_x$  is the formula by encoding  $x$ .

## Remark 3.2

- If  $\text{Axiom}_T(x) \in \Sigma_n$ , then  $\text{beb}_T(y) \in \Sigma_n$  and  $\neg \text{beb}_T(y) \in \Pi_n$ .
- If  $\text{Axiom}_T(x) \in \Pi_n$ , then  $\text{beb}_T(y) \in \Sigma_{n+1}$  and  $\neg \text{beb}_T(y) \in \Pi_{n+1}$ .



# Generalizing to Non-Recursively Enumerable Theories

We generalize Corollary 1.6 (2) i.e., 'If  $Q \subseteq T$  and  $\text{Axiom}_T \in \Sigma_1$  and  $T \subseteq \text{Th}(\mathcal{N})$ , then  $T$  isn't  $\Pi_1$ -deciding' to non-recursively enumerable (non-r.e.) theories:

## Theorem 3.3

*If  $Q \subseteq T$  and  $\text{Axiom}_T \in \Sigma_n$  and  $T \subseteq \text{Th}(\mathcal{N})$ , then  $T$  isn't  $\Pi_n$ -deciding.*



# Generalizing to Non-Recursively Enumerable Theories

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## Proof.

Let  $\gamma$  be the fixed point of  $\neg\text{beb}_T(y)$

$$T \vdash \gamma \leftrightarrow \neg\text{beb}_T(\ulcorner \gamma \urcorner). \quad (3)$$

Clearly  $\gamma$  could be  $\Pi_n$ , and it suffices to show  $\gamma$  is independent of  $T$ :

- $T \not\vdash \gamma$ . If  $T \vdash \gamma$ . Then  $\mathcal{N} \models \text{beb}(\ulcorner \gamma \urcorner)$  and  $\mathcal{N} \models \gamma$ . And since  $\mathcal{N} \models \gamma \leftrightarrow \neg\text{beb}_T$ , then  $\mathcal{N} \models \neg\text{beb}(\ulcorner \gamma \urcorner)$ , a contradiction.
- $T \not\vdash \neg\gamma$ . If  $T \vdash \neg\gamma$ . Then  $\mathcal{N} \models \neg\gamma$ . And since  $\mathcal{N} \models \gamma \leftrightarrow \neg\text{beb}_T$ , then  $\mathcal{N} \models \text{beb}_T(\ulcorner \gamma \urcorner)$ , and hence  $T \vdash \gamma$ , a contradiction to  $T \vdash \neg\gamma$ .

We can also show that  $\mathcal{N} \models \gamma$ .





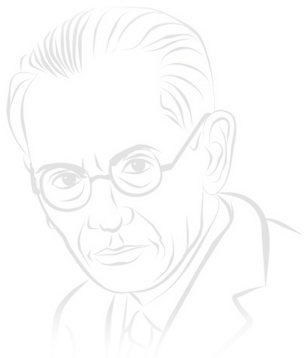
# Generalizing to Non-Recursively Enumerable Theories

## Corollary 3.4

If  $Q \subseteq T$  and  $\text{Axiom}_T \in \Pi_n$  and  $T \subseteq \text{Th}(\mathcal{N})$ , then  $T$  isn't  $\Pi_{n+1}$ -deciding.

## Proof.

This is because  $\text{Axiom}_T \in \Pi_n \subseteq \Sigma_{n+1}$ . □



# Outline

- 1 Introduction: Gödel's First Incompleteness Theorem
- 2 Generalized Meta-theoretical Properties
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## $\Sigma_n$ -soundness is sufficient

### Theorem 4.1

*If  $Q \subseteq T$  and  $\text{Axiom}_T \in \Pi_n$  and  $T$  is  $\Sigma_n$ -sound, then  $T$  isn't  $\Pi_{n+1}$ -deciding.*



# $\Sigma_n$ -soundness is sufficient

## Theorem 4.1

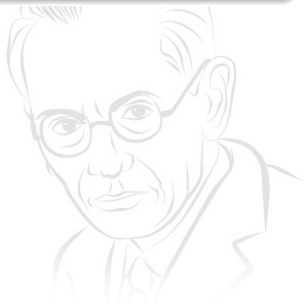
If  $Q \subseteq T$  and  $\text{Axiom}_T \in \Pi_n$  and  $T$  is  $\Sigma_n$ -sound, then  $T$  isn't  $\Pi_{n+1}$ -deciding.

## Proof I.

Define

$$\text{pro}_T(y) =_{df} \exists x[\text{be}_T(x, y) \wedge \forall z \prec x \neg \text{be}_T(z, \neg(y))].$$

Set  $T^* = T + \Pi_n(\mathcal{N})$ . Then  $T^*$  is  $\Pi_n$ -complete and  $\Sigma_{n+1}$ -complete, and consistent by  $\Sigma_n$ -soundness. One claim is needed.



# $\Sigma_n$ -soundness is sufficient

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Set  $T^* = T + \Pi_n(\mathcal{N})$ . Then  $T^*$  is  $\Pi_n$ -complete and  $\Sigma_{n+1}$ -complete, and consistent by  $\Sigma_n$ -soundness. One claim is needed.

## Lemma 4.2

For all  $n \in \mathbb{N}$ ,  $Q \vdash \forall x(x \bar{\leq} \bar{n} \leftrightarrow \bigvee_{q \leq n} x \equiv \bar{q})$  and  $Q \vdash \forall x(x \bar{\leq} \bar{n} \vee \bar{n} \bar{\leq} x)$ .

## Claim

- 1 If  $T \vdash \delta$ , then  $T^* \vdash \text{pro}_T(\ulcorner \delta \urcorner)$ .
- 2 If  $T \vdash \neg \delta$ , then  $T^* \vdash \neg \text{pro}_T(\ulcorner \delta \urcorner)$ .

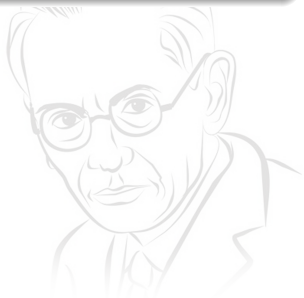
## $\Sigma_n$ -soundness is sufficient

### Proof II.

Let's turn to the theorem, and let  $\gamma$  be the fixed point of  $\neg\text{pro}_T(y)$ . Then

$$T \vdash \gamma \leftrightarrow \neg\text{pro}_T(\ulcorner\gamma\urcorner). \quad (4)$$

Clearly  $\gamma$  could be  $\Pi_{n+1}$ . It suffices to show that  $\gamma$  is independent of  $T$ : if  $T \vdash \gamma$ , then by the Claim 1 we have  $T^* \vdash \text{pro}_T(\ulcorner\gamma\urcorner)$ , but (4) gives us  $T^* \vdash \neg\text{pro}_T(\ulcorner\gamma\urcorner)$ , a contradiction to consistency of  $T^*$ , and so  $T \not\vdash \gamma$ ; if  $T \vdash \neg\gamma$ , then by the Claim 2 we have  $T^* \vdash \neg\text{pro}_T(\ulcorner\gamma\urcorner)$ , but (4) gives us  $T^* \vdash \text{pro}_T(\ulcorner\gamma\urcorner)$ , also a contradiction to consistency of  $T^*$ , and so  $T \not\vdash \neg\gamma$ .  $\square$



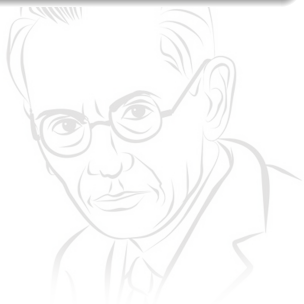
# $\Sigma_n$ -soundness is sufficient

## Corollary 4.3

- 1 if  $Q \subseteq T$  and  $\text{Axiom}_T \in \Sigma_n$  and  $T$  is  $\Sigma_{n-1}$ -sound, then  $T$  isn't  $\Pi_n$ -deciding.
- 2 if  $Q \subseteq T$  and  $\text{Axiom}_T \in \Sigma_n$  and  $T$  is  $\Sigma_n$ -sound, then  $T$  isn't  $\Pi_n$ -deciding.

## Proof.

- (1) By Lemma 2.15 (3),  $\text{Axiom}_T$  could also be  $\Pi_{n-1}$ , and then by Theorem 4.1  $T$  isn't  $\Pi_n$ -deciding.
- (2) By (1) and  $\Sigma_n$ -soundness implies  $\Sigma_{n-1}$ -soundness. □



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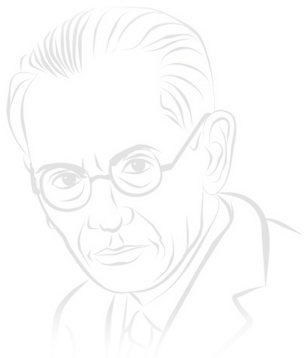
## $\Pi_n$ -soundness is sufficient

### Theorem 5.1

*If  $Q \subseteq T$  and  $\text{Axiom}_T \in \Pi_n$  and  $T$  is  $\Pi_{n+1}$ -sound, then  $T$  isn't  $\Pi_{n+1}$ -deciding.*

### Proof.

This is because  $\Pi_{n+1}$ -soundness is equivalent to  $\Sigma_n$ -soundness. □



# $\Pi_n$ -soundness is sufficient

## Theorem 5.1

*If  $Q \subseteq T$  and  $\text{Axiom}_T \in \Pi_n$  and  $T$  is  $\Pi_{n+1}$ -sound, then  $T$  isn't  $\Pi_{n+1}$ -deciding.*

## Proof.

This is because  $\Pi_{n+1}$ -soundness is equivalent to  $\Sigma_n$ -soundness. □

## Corollary 5.2

*If  $Q \subseteq T$  and  $\text{Axiom}_T \in \Sigma_n$  and  $T$  is  $\Pi_n$ -sound, then  $T$  isn't  $\Pi_n$ -deciding.*

## Proof.

Since  $\text{Axiom}_T \in \Sigma_n$  then  $\text{Axiom}_T \in \Pi_{n-1}$ , and then the conclusion suffices from Theorem 5.1. □

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## $n$ -consistency is sufficient

### Lemma 6.1

*Th( $\mathcal{N}$ ) is the only complete and  $\omega$ -consistent extension of PA (indeed Q).*

### Lemma 6.2

*If  $Q \subseteq T$  and  $T$  is  $\Pi_n$ -deciding and  $T$  is  $n$ -consistent, then  $T$  is  $\Pi_n$ -complete.*



# $n$ -consistency is sufficient

## Lemma 6.1

$\text{Th}(\mathcal{N})$  is the only complete and  $\omega$ -consistent extension of PA (indeed Q).

## Lemma 6.2

If  $\text{Q} \subseteq T$  and  $T$  is  $\Pi_n$ -deciding and  $T$  is  $n$ -consistent, then  $T$  is  $\Pi_n$ -complete.

## Proof I.

Suppose  $T$  isn't  $\Pi_n$ -complete, then there is some  $\phi \in \Pi_n$  such that  $\mathcal{N} \models \phi$  and  $T \not\vdash \phi$ ; by  $\Pi_n$ -decidability of  $T$  we have  $T \vdash \neg\phi$ , and so

$$\mathcal{N} \models \phi \text{ and } T \vdash \neg\phi \text{ and } \phi \in \Pi_n. \quad (5)$$

We may write  $\phi = \forall x \exists y \psi(x, y)$  for some  $\psi \in \Pi_{n-2}$ . By  $T \vdash \exists x \neg \exists y \psi(x, y)$  and the  $n$ -consistency of  $T$  we have  $T \not\vdash \exists y \psi(\bar{k}, y)$  for some  $k \in \mathbb{N}$ . Since  $T$  is  $\Pi_n$ -deciding then  $T \vdash \forall y \neg \psi(\bar{k}, y)$ . Since  $\mathcal{N} \models \forall x \exists y \psi(x, y)$ , then  $\mathcal{N} \models \psi(\bar{k}, l)$  for some  $l \in \mathbb{N}$ , and clearly  $T \vdash \neg \psi(\bar{k}, l)$ . So for  $\chi = \psi(\bar{k}, l)$  we have

$$\mathcal{N} \models \chi \text{ and } T \vdash \neg\chi \text{ and } \chi \in \Pi_{n-2}. \quad (6)$$

## $n$ -consistency is sufficient

### Proof II.

Proceeding in this way (from  $n$  to  $n - 2$ ) we can show that there is some  $\delta$  such that

$$\mathcal{N} \models \delta \text{ and } T \vdash \neg\delta \text{ and either } \delta \in \Pi_1 (n \text{ is odd}) \text{ or } \delta \in \Pi_0 (n \text{ is even}). \quad (7)$$

If  $\delta \in \Pi_1$  then write  $\delta = \forall x\theta(x)$  for some  $\theta \in \Pi_0$ . By  $T \vdash \exists x\neg\theta(x)$  and the 1-consistency of  $T$  we have  $T \not\vdash \theta(\bar{m})$  for some  $m \in \mathbb{N}$ . Since  $T$  is  $\Pi_0$ -deciding then  $T \vdash \neg\theta(\bar{m})$ . And also we have  $\mathcal{N} \models \forall x\theta(x)$ , then  $\mathcal{N} \models \theta(\bar{m})$ . So for there is some  $\gamma$  (either  $\delta$  in (7) or  $\theta(\bar{m})$ ) such that

$$\mathcal{N} \models \gamma \text{ and } T \vdash \neg\gamma \text{ and } \gamma \in \Pi_0. \quad (8)$$

By  $\Sigma_1$ -completeness of  $T \supseteq Q$  and  $\mathcal{N} \models \gamma$  we have  $T \vdash \gamma$ . Also we have  $T \vdash \neg\gamma$ , a contradiction to the consistency of  $T$  following from its  $n$ -consistency.  $\square$



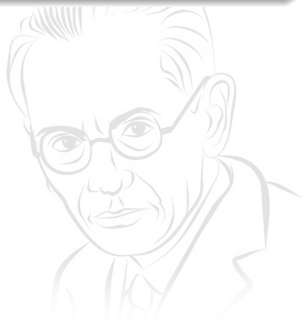
## $n$ -consistency is sufficient

### Theorem 6.3

If  $Q \subseteq T$  and  $\text{Axiom}_T \in \Pi_n$  and  $T$  is  $n$ -consistent, then  $T$  isn't  $\Pi_{n+1}$ -deciding.

### Proof.

Let  $T$  satisfy the conditions in the theorem. If  $T$  isn't  $\Pi_n$ -deciding, then  $T$  isn't  $\Pi_{n+1}$ -deciding. So we suppose  $T$  is  $\Pi_n$ -deciding, then  $T$  is  $\Pi_n$ -complete by Lemma 6.2, and so  $\Pi_n(\mathcal{N}) \subseteq T$ , and so  $T$  is  $\Sigma_n$ -sound. Then  $T$  isn't  $\Pi_{n+1}$ -deciding by Theorem 4.1. □



## $n$ -consistency is sufficient

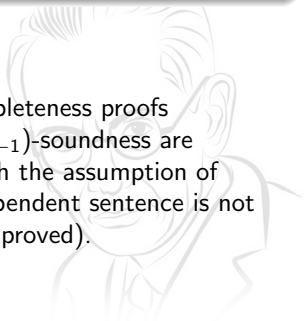
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- It is interesting to note that for  $n > 3$  all the incompleteness proofs (presented as above) with the assumption of  $\Sigma_n(\Pi_{n-1})$ -soundness are constructive, while all the incompleteness proofs with the assumption of  $n$ -consistency are all non-constructive (i.e., the independent sentence is not constructed explicitly, and only its mere existence is proved).





# $n$ -consistency is sufficient

## Corollary 6.4

- 1 If  $Q \subseteq T$  and  $\text{Axiom}_T \in \Sigma_n$  and  $T$  is  $(n-1)$ -consistent, then  $T$  isn't  $\Pi_n$ -deciding.
- 2 If  $Q \subseteq T$  and  $\text{Axiom}_T \in \Sigma_n$  and  $T$  is  $n$ -consistent, then  $T$  isn't  $\Pi_n$ -deciding.
- 3 If  $Q \subseteq T$  and  $\text{Axiom}_T \in \Sigma_n$  and  $T$  is  $\omega$ -consistent, then  $T$  isn't  $\Pi_n$ -deciding.
- 4 If  $Q \subseteq T$  and  $\text{Axiom}_T \in \Pi_{n-1}$  and  $T$  is  $\omega$ -consistent, then  $T$  isn't  $\Pi_n$ -deciding.

## Proof.

- (1) By Theorem 6.3 and  $\Sigma_n$ -definability is equivalent to  $\Pi_{n-1}$ -definability.
- (2) By (1) and  $n$ -consistency implies  $(n-1)$ -consistency.
- (3) By (2) and  $\omega$ -consistency implies  $n$ -consistency.
- (3) By (3) and  $\Sigma_n$ -definability is equivalent to  $\Pi_{n-1}$ -definability. □



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# Consistency isn't sufficient

## Lemma 7.1

*There is a complete (and consistent) theory  $T$  such that  $Q \subseteq T$  and  $T$  is  $\Sigma_{n+2}$ -definable and  $T$  is  $\Sigma_n$ -sound.*



# Consistency isn't sufficient

## Lemma 7.1

*There is a complete (and consistent) theory  $T$  such that  $Q \subseteq T$  and  $T$  is  $\Sigma_{n+2}$ -definable and  $T$  is  $\Sigma_n$ -sound.*

## Proof I.

Let  $S = Q + \Pi_n(\mathcal{N})$  (clearly  $S = Q = Q + \Pi_0(\mathcal{N})$  when  $n = 0$ ). We get the completion of  $S$  in Lindenbaum's way: enumerate all the sentences as  $\phi_0, \phi_1, \dots$  and define

$$\begin{aligned} T_0 &= S; \\ T_{n+1} &= \begin{cases} T_n \cup \{\phi_n\} & T_n \cup \{\phi_n\} \text{ is consistent,} \\ T_n \cup \{\neg\phi_n\} & \text{otherwise;} \end{cases} \\ T &= \bigcup_{n \in \mathbb{N}} T_n. \end{aligned}$$

Clearly  $Q \subseteq T$ , and  $T$  is  $\Sigma_n$ -sound since  $\Pi_n(\mathcal{N}) \subseteq S \subseteq T$ . It suffices to show that  $T$  is  $\Sigma_{n+2}$ -definable.

# Consistency isn't sufficient

Proof II.

Now define  $\text{Axiom}_T(x)$  as

$$\begin{aligned} & \exists y \left[ \text{finseq}(y) \wedge y_{\text{len}(y)-1} \equiv x \wedge \right. \\ & \forall k \leq \text{len}(y) \left[ \text{Sent}(y_k) \wedge \forall z \leq y \left[ \text{Senth}(z, k) \wedge \right. \right. \\ & \left. \left. [\text{con}'(S + y \upharpoonright k + z) \rightarrow y_k \equiv z \vee \neg \text{con}'(S + y \upharpoonright k + z) \rightarrow y_k \equiv \bar{\neg}(z)] \right] \right]. \end{aligned}$$

And

$$\text{con}'(S + y \upharpoonright k + z) = \forall v \forall w (\Pi_n\text{-true}(v) \rightarrow \neg \text{beb}_Q(w, \ulcorner \delta_v \wedge \delta_{y_0} \wedge \dots \wedge \delta_{y_{k-1}} \wedge \delta_z \rightarrow \perp \urcorner)).$$

It's easy to check that  $\text{Axiom}_T(x) \in \Sigma_{n+2}$  and  $T$  is defined by it.  $\square$



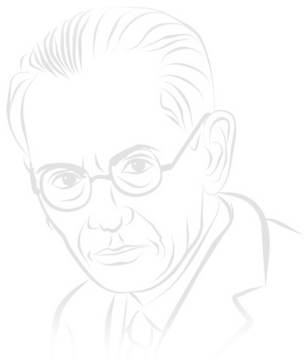
# Consistency isn't sufficient

Theorem 7.2 (Optimal Gödel-Rosser's First Incompleteness)

*If  $Q \subseteq T$  and  $T$  is  $\Sigma_{n+2}$ -definable and  $T$  is consistent, then  $T$  may be complete.*

Proof.

This is the case for  $n = 0$  in  $\Sigma_n$ -sound since  $\Sigma_0$ -soundness is equivalent to consistency under  $Q \subseteq T$ . □



# Consistency isn't sufficient

## Corollary 7.3

- 1 If  $Q \subseteq T$  and  $\text{Axiom}_T \in \Sigma_n$  and  $T$  is  $\Sigma_{n-2}$ -sound, then  $T$  may be  $\Pi_n$ -deciding.
- 2 If  $Q \subseteq T$  and  $\text{Axiom}_T \in \Sigma_n$  and  $T$  is  $\Pi_{n-1}$ -sound, then  $T$  may be  $\Pi_n$ -deciding.
- 3 If  $Q \subseteq T$  and  $\text{Axiom}_T \in \Sigma_n$  and  $T$  is  $(n-2)$ -consistent, then  $T$  may be  $\Pi_n$ -deciding.
- 4 If  $Q \subseteq T$  and  $\text{Axiom}_T \in \Pi_{n-1}$  and  $T$  is  $\Sigma_{n-2}$ -sound, then  $T$  may be  $\Pi_n$ -deciding.
- 5 If  $Q \subseteq T$  and  $\text{Axiom}_T \in \Pi_{n-1}$  and  $T$  is  $\Pi_{n-1}$ -sound, then  $T$  may be  $\Pi_n$ -deciding.
- 6 If  $Q \subseteq T$  and  $\text{Axiom}_T \in \Pi_{n-1}$  and  $T$  is  $(n-2)$ -consistent, then  $T$  may be  $\Pi_n$ -deciding.

## Proof.

- (1) Suppose for sake of a contradiction that none of such  $T$  is  $\Pi_n$ -deciding, then none of such  $T$  is complete, a contradiction to Lemma 7.1.
- (2) By (1) and  $\Sigma_{n-2}$ -soundness is equivalent to  $\Pi_{n-1}$ -soundness.
- (3) By (1) and  $\Sigma_{n-2}$ -soundness implies  $(n-2)$ -consistency.
- (4) By (1) and  $\Sigma_n$ -definability is equivalent to  $\Pi_{n-1}$ -definability.
- (5) By (2) and  $\Sigma_n$ -definability is equivalent to  $\Pi_{n-1}$ -definability.
- (6) By (3) and  $\Sigma_n$ -definability is equivalent to  $\Pi_{n-1}$ -definability. □

# Outline

- 1 Introduction: Gödel's First Incompleteness Theorem
- 2 Generalized Meta-theoretical Properties
- 3 Generalizing to Non-Recursively Enumerable Theories
- 4  $\Sigma_n$ -soundness is sufficient
- 5  $\Pi_n$ -soundness is also sufficient
- 6  $n$ -consistency is also sufficient
- 7 Consistency isn't sufficient
- 8 Conclusions: Diagrams for First Incompleteness





# Conclusions: Diagrams for First Incompleteness

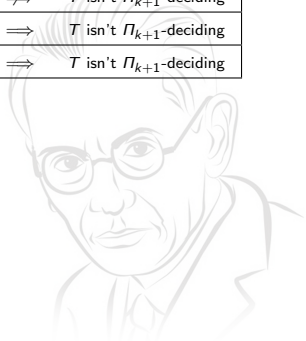
## First Incompleteness Theorems for $\Sigma_n$ -definable Theories ( $n > 1$ )

Gödel-Rosser's 1 <sup>st</sup> 1.5	$Q \subseteq T \wedge \text{Axiom}_T \in \Sigma_1 \wedge T$ is	$\Sigma_0$ -sound	$\implies$	$T$ isn't $\Pi_1$ -deciding
Corollary 1.3 (2)	$Q \subseteq T \wedge \text{Axiom}_T \in \Sigma_1 \wedge T$ is	$\Sigma_1$ -sound	$\implies$	$T$ isn't $\Pi_1$ -deciding
Corollary 7.3 (1)	$Q \subseteq T \wedge \text{Axiom}_T \in \Sigma_n \wedge T$ is	$\Sigma_{n-2}$ -sound	$\not\implies$	$T$ isn't $\Pi_n$ -deciding
Corollary 4.3 (1)	$Q \subseteq T \wedge \text{Axiom}_T \in \Sigma_n \wedge T$ is	$\Sigma_{n-1}$ -sound	$\implies$	$T$ isn't $\Pi_n$ -deciding
Corollary 4.3 (2)	$Q \subseteq T \wedge \text{Axiom}_T \in \Sigma_n \wedge T$ is	$\Sigma_n$ -sound	$\implies$	$T$ isn't $\Pi_n$ -deciding
Theorem 3.3	$Q \subseteq T \wedge \text{Axiom}_T \in \Sigma_n \wedge T$ is	sound	$\implies$	$T$ isn't $\Pi_n$ -deciding
Gödel-Rosser's 1 <sup>st</sup> 1.5	$Q \subseteq T \wedge \text{Axiom}_T \in \Sigma_1 \wedge T$ is	$\Pi_0$ -sound	$\implies$	$T$ isn't $\Pi_1$ -deciding
Corollary 1.6 (1)	$Q \subseteq T \wedge \text{Axiom}_T \in \Sigma_1 \wedge T$ is	$\Pi_1$ -sound	$\implies$	$T$ isn't $\Pi_1$ -deciding
Corollary 7.3 (2)	$Q \subseteq T \wedge \text{Axiom}_T \in \Sigma_n \wedge T$ is	$\Pi_{n-1}$ -sound	$\not\implies$	$T$ isn't $\Pi_n$ -deciding
Corollary 5.2	$Q \subseteq T \wedge \text{Axiom}_T \in \Sigma_n \wedge T$ is	$\Pi_n$ -sound	$\implies$	$T$ isn't $\Pi_n$ -deciding
Theorem 3.3	$Q \subseteq T \wedge \text{Axiom}_T \in \Sigma_n \wedge T$ is	sound	$\implies$	$T$ isn't $\Pi_n$ -deciding
Gödel-Rosser's 1 <sup>st</sup>	$Q \subseteq T \wedge \text{Axiom}_T \in \Sigma_1 \wedge T$ is	consistent	$\implies$	$T$ isn't $\Pi_1$ -deciding
Gödel's 1 <sup>st</sup> 1.3 (1)	$Q \subseteq T \wedge \text{Axiom}_T \in \Sigma_1 \wedge T$ is	1-consistent	$\implies$	$T$ isn't $\Pi_1$ -deciding
Corollary 7.3 (3)	$Q \subseteq T \wedge \text{Axiom}_T \in \Sigma_n \wedge T$ is	$(n-2)$ -consistent	$\not\implies$	$T$ isn't $\Pi_n$ -deciding
Corollary 6.4 (1)	$Q \subseteq T \wedge \text{Axiom}_T \in \Sigma_n \wedge T$ is	$(n-1)$ -consistent	$\implies$	$T$ isn't $\Pi_n$ -deciding
Corollary 6.4 (2)	$Q \subseteq T \wedge \text{Axiom}_T \in \Sigma_n \wedge T$ is	$n$ -consistent	$\implies$	$T$ isn't $\Pi_n$ -deciding
Corollary 6.4 (3)	$Q \subseteq T \wedge \text{Axiom}_T \in \Sigma_n \wedge T$ is	$\omega$ -consistent	$\implies$	$T$ isn't $\Pi_n$ -deciding

# Conclusions: Diagrams for First Incompleteness


## First Incompleteness Theorems for $\Pi_k$ -definable Theories ( $k > 0$ )


Corollary 7.3 (4)	$Q \subseteq T \wedge \text{Axiom}_T \in \Pi_k \wedge T$ is	$\Sigma_{k-1}$ -sound	$\not\Rightarrow$	$T$ isn't $\Pi_{k+1}$ -deciding
Theorem 4.1	$Q \subseteq T \wedge \text{Axiom}_T \in \Pi_k \wedge T$ is	$\Sigma_k$ -sound	$\Rightarrow$	$T$ isn't $\Pi_{k+1}$ -deciding
Corollary 3.4	$Q \subseteq T \wedge \text{Axiom}_T \in \Pi_k \wedge T$ is	sound	$\Rightarrow$	$T$ isn't $\Pi_{k+1}$ -deciding
Corollary 7.3 (5)	$Q \subseteq T \wedge \text{Axiom}_T \in \Pi_k \wedge T$ is	$\Pi_k$ -sound	$\not\Rightarrow$	$T$ isn't $\Pi_{k+1}$ -deciding
Theorem 5.1	$Q \subseteq T \wedge \text{Axiom}_T \in \Pi_k \wedge T$ is	$\Pi_{k+1}$ -sound	$\Rightarrow$	$T$ isn't $\Pi_{k+1}$ -deciding
Theorem 3.3	$Q \subseteq T \wedge \text{Axiom}_T \in \Pi_k \wedge T$ is	sound	$\Rightarrow$	$T$ isn't $\Pi_{k+1}$ -deciding
Corollary 7.3 (6)	$Q \subseteq T \wedge \text{Axiom}_T \in \Pi_k \wedge T$ is	$(k-1)$ -consistent	$\not\Rightarrow$	$T$ isn't $\Pi_{k+1}$ -deciding
Theorem 6.3	$Q \subseteq T \wedge \text{Axiom}_T \in \Pi_k \wedge T$ is	$k$ -consistent	$\Rightarrow$	$T$ isn't $\Pi_{k+1}$ -deciding
Corollary 6.4 (4)	$Q \subseteq T \wedge \text{Axiom}_T \in \Pi_k \wedge T$ is	$\omega$ -consistent	$\Rightarrow$	$T$ isn't $\Pi_{k+1}$ -deciding




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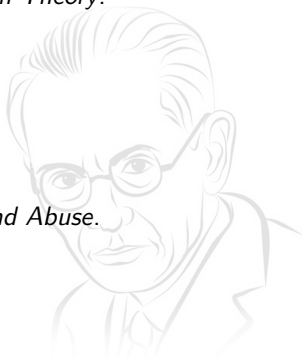
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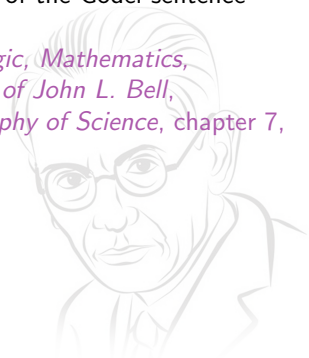
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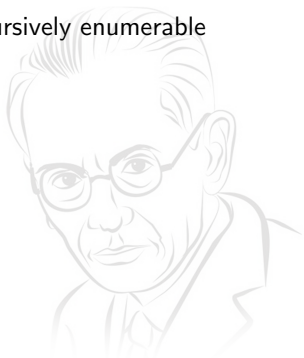
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THANKS!

