

# The World below RT<sub>2</sub><sup>2</sup> in Reverse Mathematics

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# Introduction

## Global Looking

The theorems of interest in our investigations are typically of the form  $\forall A(\Theta(A) \rightarrow \exists B\Phi(A, B))$  where  $\Theta$  and  $\Phi$  are arithmetic and  $A, B \in 2^\omega$ . Thus,

- from the computability theoretic point of view, we want to bound or characterize the computational complexity of  $B$  given an  $A$  satisfying  $\Theta$ ;
- from the reverse mathematics point of view, we want to determine the axiom systems in which the theorem is provable.

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- from the computability theoretic point of view, we want to bound or characterize the computational complexity of  $B$  given an  $A$  satisfying  $\Theta$ ;
- from the reverse mathematics point of view, we want to determine the axiom systems in which the theorem is provable.

Unlike the case of  $WKL_0$ , there do not seem to be many principles equivalent to  $RT_2^2$  over  $RCA_0$ . There is, however, a whole world of principles, particularly combinatorial ones, below  $RT_2^2$  (i.e., provable in  $RCA_0 + RT_2^2$ ) in the reverse mathematical universe.

# Introduction

## Language

The language  $L_2$  of second-order arithmetic is a two-sorted language with number variables  $x, y, z, \dots$  intended to range over natural numbers and set variables  $X, Y, Z, \dots$  intended to range over sets of natural numbers. In addition, the language include  $+$ ,  $\cdot$  as operation symbols,  $0, 1$  as constants and  $<$  as a relation symbol, with adding a binary relation  $\in$  to relate the two sorts.

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## Axioms

- Basic axioms ;
- Induction axiom :

$$(0 \in X \wedge \forall n(n \in X) \rightarrow n + 1 \in X) \rightarrow \forall n(n \in X);$$

- Comprehension scheme :

$$\exists X \forall n(n \in X \leftrightarrow \varphi(n)),$$

where  $\varphi(n)$  is any formula in which  $X$  does not occur freely.

# Introduction

## Models

A model for  $L_2$  or an  $L_2$ -structure is an ordered 7-tuple

$$\mathfrak{M} = (M, \mathcal{S}, +, \cdot, 0, 1, <)$$

where  $M$  is a set;  $\mathcal{S}$  is a collection of subsets of  $M$ ;  $+$  and  $\cdot$  are function from  $M \times M$  into  $M$ ;  $<$  is a subset of  $M \times M$ ; and 0 and 1 are distinguished elements of  $M$ .

# Introduction

## Definition 1.1

The class of partial recursion functions,  $\mathcal{C}$ , is the least class of functions closed under the following schemata.

- (a) The successor function; the constant functions; the identity functions (also called projections);  
 (b) (Composition) If  $g_1, \dots, g_m, h \in \mathcal{C}$ , then

$$f(x_1, \dots, x_n) = h(g_1(x_1, \dots, x_n), \dots, g_m(x_n))$$

is in  $\mathcal{C}$  where  $g_1, \dots, g_m$  are functions of  $n$  variables and  $h$  is a function of  $m$  variables;

- (c) (Primitive Recursion) If  $g, h \in \mathcal{C}$  and  $n \geq 1$  then  $f \in \mathcal{C}$  where

$$f(0, x_2, \dots, x_n) = g(x_2, \dots, x_n),$$

$$f(x_1 + 1, x_2, \dots, x_n) = h(x_1, f(x_1, x_2, \dots, x_n), x_2, \dots, x_n),$$

assuming  $g$  and  $h$  are functions of  $n - 1$  and  $n + 1$  variables respectively;

- (d) (Minimalization) If  $\theta(x_1, \dots, x_n, y)$  is a function of  $n + 1$  variables in  $\mathcal{C}$  and

$$\psi(x_1, \dots, x_n) = \mu y [\theta(x_1, \dots, x_n, y) \downarrow = 0 \text{ and } \forall z \leq y [\theta(x_1, \dots, x_n, z) \downarrow]].$$

.



# Introduction

## Definition 1.2

- A partial function  $\psi$  is Turing computable in  $A$ , written  $\psi \leq_T A$ , if there a program  $P_e$  such that if the machine has  $\chi_A$  written on the oracle tape, then for all  $x$  and  $y$ ,  $\psi(x) = y$  iff  $P_e$  on input  $x$  halts and yields output  $y$ . In the case we write  $\psi = \{e\}^A$  or  $\Phi_e^A$ .

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- A degree  $\mathbf{a} \leq \mathbf{0}'$  is low  $\mathbf{a}' = \mathbf{0}'$ , and high if  $\mathbf{a}' = \mathbf{0}''$ .
- A set  $A \leq_T \mathbf{0}'$  is low (high) if  $\deg(A)$  is low (high).

# Standard systems

## Recursive Comprehension Axioms (RCA<sub>0</sub>)

In addition to basic axioms, its axioms include the schemes of  $\Delta_1^0$  comprehension and  $\Sigma_1^0$  induction. This is a system just strong enough to prove the existence of the computable sets but not of  $0'$  nor indeed of any noncomputable set.



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## Weak König's Lemma (WKL<sub>0</sub>)

This system consists of RCA<sub>0</sub> plus the statement that every infinite subtree of  $2^{<\omega}$  has an infinite path.

It is connected to the Low Basis Theorem (Jockusch and Soare[1972]) of computability theory, which says that every such tree has an infinite path whose jump is computable in that of the tree itself.

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## Arithmetic Comprehension Axioms (ACA<sub>0</sub>)

This system consists of RCA<sub>0</sub> plus the axioms  $\exists X \forall n (n \in X \leftrightarrow \varphi(n))$  for every arithmetic formula  $\varphi$  in which  $X$  is not free.

In computability theoretic terms, ACA<sub>0</sub> proves the existence of  $0'$  and by relativization it proves, and in fact is equivalent to, the existence of  $X'$  for every set  $X$ .

# Ramsey's theorem

## Definition 1.3

For a map  $f$  and a subset  $X$  of its domain, we denote the image of  $X$  under  $f$  by  $f''X$ . An  $n$ -coloring of  $[\omega]^k$  is a map  $f : [\omega]^k \rightarrow n$ . A subset  $H$  of  $\omega$  is homogeneous for the coloring  $f$  if  $H$  is infinite and  $|f''[H]^k| = 1$ .

## Ramsey's Theorem for pairs (RT<sub>2</sub><sup>2</sup>)

Every 2-coloring of  $[\omega]^2$  has a homogeneous set.

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## Ramsey's Theorem for pairs (RT<sub>2</sub><sup>2</sup>)

Every 2-coloring of  $[\omega]^2$  has a homogeneous set.

It is easy to show that the number of color does not matter, in the sense that for each  $n > 2$ , Ramsey's theorem for 2-colorings of pairs is equivalent to Ramsey's Theorem for  $n$ -coloring of pairs.

# Some result about RT<sub>2</sub><sup>2</sup>

- Specker[1971] constructed a computable coloring of  $[\omega]^2$  with no computable homogeneous set. Thus  $\text{RCA}_0 \not\vdash \text{RT}_2^2$ .

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- Jockusch[1972] constructed a computable coloring of  $[\omega]^3$  such that every homogeneous set computes  $0'$ . This construction can be carried out in  $\text{RCA}_0$ , and thus shows that Ramsey's Theorem for triples implies  $\text{ACA}_0$ . In fact, one of the standard proofs of Ramsey's Theorem for k-tuples is equivalent to  $\text{ACA}_0$ .

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- Seetapun and Slaman[1993] proved a degree theoretic cone avoiding theorem that implies that  $\text{RT}_2^2$  does not imply  $\text{ACA}_0$  (even over  $\text{WKL}_0$ ).

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- Liu[2012] prove  $\text{WKL}_0$  is independent of  $\text{RT}_2^2$ .



# Stability and cohesiveness

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## Definition 2.1

A coloring of  $[\omega]^2$  is stable if  $\forall x \exists y \forall z > y (f(x, y) = f(x, z))$ .

## Stable Ramsey's Theorem for pairs (SRT<sub>2</sub><sup>2</sup>)

Every stable coloring of  $[\omega]^2$  has a homogeneous set.

# Stability and cohesiveness

## Definition 2.2

If  $\vec{R} = \langle R_i | i \in \omega \rangle$  is a sequence of sets, an infinite set  $S$  is  $\vec{R}$ -cohesive if

$$\forall i \exists s (\forall j > s (j \in S \rightarrow j \in R_i) \vee \forall j > s (j \in S \rightarrow j \notin R_i)).$$

## Cohesive Principle (COH)

For every sequence  $\vec{R} = \langle R_i | i \in \omega \rangle$  there is an  $\vec{R}$ -cohesive set.

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For every sequence  $\vec{R} = \langle R_i | i \in \omega \rangle$  there is an  $\vec{R}$ -cohesive set.

Note that COH easily implies the principle, which asserts that for every coloring of  $[\omega]^2$  there is a set such that the coloring restricted to that set is stable.

# Stability and cohesiveness

## Cohesive Ramsey's theorem for pairs ( $CRT_2^2$ )

For every coloring  $f$  of  $[\omega]^2$  there is an infinite set  $S$  such that

$$\forall x \in S \exists y \forall z \in S (z > y \rightarrow f(x, y) = f(x, z)).$$

## Proposition 2.3

$$RCA_0 \vdash COH \rightarrow CRT_2^2.$$

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$$RCA_0 \vdash COH \rightarrow CRT_2^2.$$

By the theorem and system RT<sub>2</sub><sup>2</sup> splitting into SRT<sub>2</sub><sup>2</sup> and COH we mean the fact that

$$RCA_0 \vdash RT_2^2 \leftrightarrow COH \wedge SRT_2^2.$$

# Stability and cohesiveness

- It is immediate from the above proposition that  $\text{COH} \wedge \text{SRT}_2^2$  implies  $\text{RT}_2^2$ , and  $\text{RT}_2^2$  obviously implies  $\text{SRT}_2^2$ .

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- The fact that  $\text{RT}_2^2$  implies  $\text{COH}$  is harder to show. Cholak, Jockusch and Slaman provide an easy proof that requires  $\text{I}\Sigma_2$ . The proof in  $\text{RCA}_0$  given by Mileti [2004].



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The primary combinatorial/reverse mathematical question left here is whether  $\text{SRT}_2^2$  implies  $\text{COH}$  (and so  $\text{RT}_2^2$ ).

Cooper [1972] showed that every uniformly computable sequence  $\vec{R}$  has a  $\Delta_2^0$  cohesive set, but for some such  $\vec{R}$  the only  $\Delta_2^0$  cohesive set are high, i.e.  $S' \equiv_T 0''$ . Consequently,  $\text{WKL}_0$  can not imply  $\text{COH}$ .

# Stability and cohesiveness

## Principle D<sub>2</sub><sup>2</sup> (D<sub>2</sub><sup>2</sup>)

Given any  $\Delta_2^0$ -set  $A$ , either  $A$  or its complement contains an infinite subset.

## $\Sigma_2$ -bounding collection (B $\Sigma_2^0$ )

$\forall x < n \exists y \varphi(x, y) \rightarrow \exists m \forall x < m \exists y < m \varphi(x, y)$ , where  $\varphi$  is  $\Sigma_2^0$ .

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## Proposition 2.4 (Cholak, Jockusch and Slaman 2001)

$RCA_0 + B\Sigma_2 \vdash SRT_2^2 \leftrightarrow D_2^2$ .

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## Proposition 2.4 (Cholak, Jockusch and Slaman 2001)

$RCA_0 + B\Sigma_2 \vdash SRT_2^2 \leftrightarrow D_2^2$ .

## Theorem 2.5 (Chong, Lempp and Yang 2010)

$RCA_0 \vdash D_2^2 \rightarrow B\Sigma_2^0$ .

# Stability and cohesiveness

Recently, Chong, Slaman and Yang [2014] use nonstandard model of arithmetic to construct a model separating  $RT_2^2$  and  $SRT_2^2$ . The main technical theorem they establish is following.

## Theorem 2.6

*There is a model  $\mathfrak{M} = \langle M, S, +, \cdot, 0, 1, < \rangle$  of  $RCA_0 + B\Sigma_2^0$  but not  $I\Sigma_2^0$  such that every  $G \in S$  is low and  $\mathfrak{M} \models D_2^2$ .*

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## Open problems

- Does  $CRT_2^2$  imply COH?
- Does  $SRT_2^2$  implies  $RT_2^2$  in every  $\omega$ -model of arithmetic? More generally, does  $RCA_0 + I\Sigma_2$  separate these two principles?

# RT<sub>2</sub><sup>2</sup> and ordering principles

There are many natural principles that can be seen as special cases of the idea, embodied in Ramsey's Theorem, that large structures must contain large ordered substructures.



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### The Ascending/Descending Sequence Principle (ADS)

Every infinite linear order has an infinite ascending or descending sequence.

### The Chain/Antichain Principle (CAC)

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Every infinite partial order has an infinite chain or antichain.

### Proposition 3.1

$RCA_0 \vdash CAC \rightarrow ADS$ .

# Ascending and descending sequence

Given a partial order, if we give the pair  $\{x, y\}$  the color 0 if  $x$  and  $y$  are comparable and the color 1 otherwise, then any homogeneous set for this coloring is a chain or antichain of our partial order. Thus  $RT_2^2$  implies CAC over  $RCA_0$ .

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## Definition 3.2

- $\omega$  if every element has finitely many predecessors ;
- $\omega^*$  if every element has finitely many successors ;
- $\omega + \omega^*$  if it is not of type  $\omega$  or  $\omega + \omega^*$  and every element has either finitely many predecessors or finitely many successors.

## Proposition 3.3

ADS is equivalent over  $RCA_0$  to the statement that every infinite linear order has a suborder of type  $\omega$  or  $\omega^*$ .

# Ascending and descending sequence

Every linear order  $\mathcal{L}$  of type  $\omega + \omega^*$  is stable in a sense analogous to that for colorings, i.e.  $\forall x \exists y \forall z > y (x <_{\mathcal{L}} y \leftrightarrow x <_{\mathcal{L}} z)$ . Thus the analog of SRT<sub>2</sub><sup>2</sup> is SADS.

## Stable ADS (SADS)

Every linear order of type  $\omega + \omega^*$  has a subset of order type  $\omega$  or  $\omega^*$ .

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## Stable ADS (SADS)

Every linear order of type  $\omega + \omega^*$  has a subset of order type  $\omega$  or  $\omega^*$ .

Similarly, reducing to an order  $\mathcal{L}$  of type  $\omega + \omega^*$  corresponds to producing a cohesive set, as in such an order  $S$ , we have

$$\forall i \in S \exists s (\forall j > s (j \in S \rightarrow i <_{\mathcal{L}} j) \vee \forall j > s (j \in S \rightarrow i >_{\mathcal{L}} j)).$$

This gives us CADS as the analog of COH.

## Cohesive ADS (CADS)

Every linear order has a subset  $S$  of order type  $\omega$ ,  $\omega^*$  or  $\omega + \omega^*$ .

# Ascending and descending sequence

## Proposition 3.4

$RCA_0 \vdash ADS \leftrightarrow SADS \wedge CADS.$

## Proposition 3.5

$RCA_0 \vdash SRT_2^2 \rightarrow SADS.$

## Proposition 3.6

$RCA_0 \vdash CRT_2^2 \rightarrow CADS$  and so  $RCA_0 \vdash COH \rightarrow CADS.$

# Ascending and descending sequence

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## Theorem 3.7 (Hirschfeldt and Shore 2007)

*Every  $X$ -computable linear order of type  $\omega + \omega^*$  contains a suborder  $A$  of type  $\omega$  or  $\omega^*$  that is low over  $X$ , i.e.  $(A \oplus X)' \leq_T X'$ .*

## Corollary 3.8

*There is an  $\omega$ -model of  $RCA_0 + SADS$  consisting entirely of low sets.*

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## Corollary 3.8

*There is an  $\omega$ -model of  $RCA_0 + SADS$  consisting entirely of low sets.*

Downey, Hirschfeldt, Lempp and Solomon [2001] have constructed a computable stable coloring of  $[\omega]^2$  with no low homogeneous set, and so the model of SADS constructed in corollary above cannot be a model of  $SRT_2^2$ .

# Ascending and descending sequence

## Proposition 3.9

*There is a computable linear order with no low suborder of type  $\omega$ ,  $\omega^*$  or  $\omega + \omega^*$ .*

## Corollary 3.10

*SADS  $\not\leq$  CADS, and SADS  $\not\leq$  WKL<sub>0</sub>.*

# Ascending and descending sequence

## Proposition 3.9

*There is a computable linear order with no low suborder of type  $\omega$ ,  $\omega^*$  or  $\omega + \omega^*$ .*

## Corollary 3.10

*SADS  $\not\vdash$  CADS, and SADS  $\not\vdash$  WKL<sub>0</sub>.*

## Theorem 3.11 (Hirschfeldt and Shore 2007)

*None of the following principles are implied by COH (nor CADS, which follows from it) : RT<sub>2</sub><sup>2</sup>, SRT<sub>2</sub><sup>2</sup>, WKL<sub>0</sub>, CAC, ADS, SADS.*

# Partial orders and CAC

## Definition 3.12

A partial order  $\mathcal{P}$  is stable if either

- (1)  $\forall i \in P \exists s(\forall j > s(j \in P \rightarrow i <_{\mathcal{P}} j) \vee \forall j > s(j \in P \rightarrow i |_{\mathcal{P}} j))$ , or
- (2)  $\forall i \in P \exists s(\forall j > s(j \in P \rightarrow i >_{\mathcal{P}} j) \vee \forall j > s(j \in P \rightarrow i |_{\mathcal{P}} j))$ .

## Stable CAC (SCAC)

Every infinite stable partial order has an infinite chain or antichain.

# Partial orders and CAC

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A partial order  $\mathcal{P}$  is stable if either

- (1)  $\forall i \in P \exists s(\forall j > s(j \in P \rightarrow i <_P j) \vee \forall j > s(j \in P \rightarrow i \mid_P j))$ , or
- (2)  $\forall i \in P \exists s(\forall j > s(j \in P \rightarrow i >_P j) \vee \forall j > s(j \in P \rightarrow i \mid_P j))$ .

## Stable CAC (SCAC)

Every infinite stable partial order has an infinite chain or antichain.

## Proposition 3.13

$RCA_0 \vdash SRT_2^2 \rightarrow SCAC \rightarrow SADS$ .

# Partial orders and CAC

## Theorem 3.14 (Hirschfeldt and Shore 2007)

*Every stable partial order  $\mathcal{P}$  computable in  $X$  has a chain or antichain  $S$  that is low over  $X$ , i.e.  $(A \oplus X)' \leq_T X'$ .*

## Corollary 3.15

*SCAC  $\not\leq$  SRT<sub>2</sub><sup>2</sup>; SCAC  $\not\leq$  CADS and so SCAC  $\not\leq$  COH; SCAC  $\not\leq$  ADS.*

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## Cohesive CAC(CCAC)

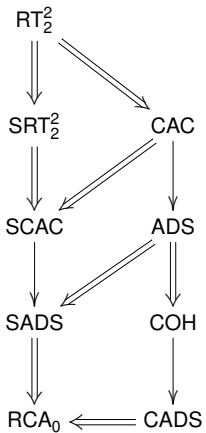
Every partial order has a stable suborder.

## Proposition 3.16

$RCA_0 \vdash CCAC \leftrightarrow ADS$ .



## Summary



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# THANKS!