

Modal AGM model of preference changes

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Preference and preference change

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- Dynamic turn and preference dynamics.

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- Sentential representations, input-assimilation and minimal change
- Sven Ove Hansson introduced a AGM-style framework to deal with preference changes.

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- However, we notice that *DEL* framework is flexible in the sense that new modalities can be added to the language as long as we need.
- It is interesting to find whether there is a *DEL*-like modal AGM framework so that original change operators can be represented faithfully by some modalities in it.

Preliminary

Definition

\mathcal{L} is a minimal set satisfying the following rules:

- (1) If $x, y \in \mathcal{U}$, then $x \leq y \in \mathcal{L}$,
- (2) if $\alpha, \beta \in \mathcal{L}$, then $\neg\alpha \in \mathcal{L}$ and $\alpha \wedge \beta \in \mathcal{L}$.

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Definition

$|\Sigma|$, the set of alternatives mentioned by a set of sentences, is defined according to the following rules:

- (1) $|\{x \leq y\}| = |x \leq y| = \{x, y\}$,
- (2) $|\{\neg\alpha\}| = |\neg\alpha| = |\alpha|$,
- (3) $|\{\alpha \wedge \beta\}| = |\alpha \wedge \beta| = |\alpha| \cup |\beta|$,
- (4) $|\Sigma| = \bigcup\{|\{\alpha\}| \mid \alpha \in \Sigma\}$.

Preliminary, cont.

Definition

Let $\mathcal{A} \subseteq \mathcal{U}$ and $\Sigma \subseteq \mathcal{L}$, then:

- (1) $\Sigma \uparrow \mathcal{A} = \{\alpha \in \Sigma \mid |\alpha| \subseteq \mathcal{A}\}$,
- (2) $\Sigma \downarrow \mathcal{A} = \{\alpha \in \Sigma \mid |\alpha| \cap \mathcal{A} = \emptyset\}$.

Preliminary, cont.

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Definition

Let $\Phi \subseteq \mathcal{L}$. $\mathbf{sub}(\Phi)$ is the set of substitution-instances of elements of Φ . Furthermore, Cn_0 is the classical truth-functional consequence operator. Let Cn_Φ be the operator on subset of \mathcal{L} such that for any $\Sigma \subseteq \mathcal{L}$, $Cn_\Phi(\Sigma) = Cn_0(\mathbf{sub}(\Phi) \cup \Sigma)$.

Preference set

Definition (preference set)

Let $\Phi = \{x \leq x, x \leq y \wedge y \leq z \rightarrow x \leq z\}$. A set $\Sigma \subseteq \mathcal{L}$ is a preference set if and only if:

- (1) $\Sigma = (Cn_{\Phi}(\Sigma)) \upharpoonright |\Sigma|$ and
- (2) for all $\alpha \in \Sigma$, $\neg\alpha \notin \Sigma$.

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- Φ means *rationality constraints* which rational preference state should obey.
- We assume that agents are perfect reasoners.

Preference model

Definition (preference model)

A preference model for \mathcal{L} is a multi-tuple

$\mathbb{R} = (\mathcal{U}, \mathcal{A}, R_1, \dots, R_n, \sigma)$ where \mathcal{U} is the fixed domain, $\mathcal{A} \subseteq \mathcal{U}$ and for any $x \in \mathcal{U}$, $\sigma(x) = x$. Furthermore, for any $i \leq n$, R_i is a reflexive and transitive binary relation on \mathcal{A} . The satisfaction relation \models is defined as follows:

- (1) for any $x, y \in \mathcal{U}$, $\mathbb{R} \models x \leq y$ iff for any $i \leq n$, $(\sigma(x), \sigma(y)) \in R_i$,
- (2) $\mathbb{R} \models \neg\alpha$ iff $(\mathcal{U}, \mathcal{A}, R_i, \sigma) \not\models \alpha$ for any $i \leq n$,
- (3) $\mathbb{R} \models \alpha \wedge \beta$ iff $\mathbb{R} \models \alpha$ and $\mathbb{R} \models \beta$.

Sentential representation and relational representation

- Let $[\mathbb{R}] = \{\alpha \in \mathcal{L} \mid \mathbb{R} \models \alpha\}$ and $[\mathbb{R}] = [\mathbb{R}] \uparrow \mathcal{A}$, we can get the following theorem.

Theorem

Let $\Sigma \subseteq \mathcal{L}$, Σ is a preference set if and only if there is a model \mathbb{R} for \mathcal{L} such that $\Sigma = [\mathbb{R}]$.

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Theorem

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- Relational representation allows for simple and natural definitions of operators of change.

Basic types of preference changes: \oplus , \ominus

Definition (Subtraction \ominus)

If preference model $\mathbb{R} = (\mathcal{U}, \mathcal{A}, R_1, \dots, R_n, \sigma)$ and $x \in \mathcal{U}$, then

$\mathbb{R} \ominus x = (\mathcal{U}, \mathcal{A}', R'_1, \dots, R'_n, \sigma)$ where

- (1) $\mathcal{A}' = \mathcal{A} \setminus \{x\}$ and
- (2) for any $i \leq n$, $R'_i = R_i \cap (\mathcal{A} \times \mathcal{A})$

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Definition (Addition \oplus)

If preference model $\mathbb{R} = (\mathcal{U}, \mathcal{A}, R_1, \dots, R_n, \sigma)$ and $x \in \mathcal{U}$, then

$\mathbb{R} \oplus x = (\mathcal{U}, \mathcal{A}', R'_1, \dots, R'_n, \sigma)$ where

- (1) $\mathcal{A}' = \mathcal{A} \cup \{x\}$ and
- (2) for any $i \leq n$, $R'_i = R_i \cup \{(x, x)\}$

Similarity relation

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Similarity relation

- In AGM framework, every basic change should be minimal. So in order to define \otimes and \odot , we need a tool to measure the similarity between two preference models.
- For all sets X and Y , let the symmetrical difference $X\Delta Y$ between X and Y be equal to $(X \setminus Y) \cup (Y \setminus X)$.

Similarity relation, cont.

Definition

For any finite set X , $\#(X)$ is the number of elements of X . Let $\mathcal{B} \subseteq \mathcal{U}$ and R_1, R_2 are binary relations on \mathcal{A} . The similarity relation between R_1 and R_2 is defined as follows:

- (1) $\delta(R_1, R_2)_{\mathcal{B}} = \langle \#((R_1 \Delta R_2) \cap ((\mathcal{U} \setminus \mathcal{B})) \times (\mathcal{U} \setminus \mathcal{B})), \#(R_1 \Delta R_2) \rangle$,
- (2) $\langle a, b \rangle \sqsubseteq \langle c, d \rangle$ iff $a < c$ or $a < c \wedge b \leq d$,
- (3) $\langle a, b \rangle \sqsubset \langle c, d \rangle$ iff $\langle a, b \rangle \sqsubseteq \langle c, d \rangle$ and $\neg \langle c, d \rangle \sqsubseteq \langle a, b \rangle$.

Similarity relation, cont.

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Definition

If $\mathbb{R} = (\mathcal{U}, \mathcal{A}, R_1, \dots, R_n, \sigma)$, $\mathcal{B} \subseteq \mathcal{U}$ and R is a binary relation on \mathcal{U} , then $R \in \mathbb{R}$ if and only if there is some $i \leq n$ such that $R_i = R$.

Furthermore, $\delta_{\mathcal{B}}(R, \mathbb{R}) = \min\{\delta_{\mathcal{B}}(R, R') \mid R' \in \mathbb{R}\}$

Basic types of preference changes: \otimes

Definition (Revision \otimes)

Let preference model $\mathbb{R} = (\mathcal{U}, \mathcal{A}, R_1, \dots, R_n, \sigma)$. If α is a Φ -consistent sentence in $\mathcal{L} \uparrow \mathcal{A}$, then

$\mathbb{R}_{\mathcal{B}} \otimes \alpha = (\mathcal{U}, \mathcal{A}, R'_1, \dots, R'_m, \sigma)$ where for any $i \leq m$,

(1) $(\mathcal{U}, \mathcal{A}, R'_i, \sigma) \models \alpha \cup Cn_0(\mathbf{Sub}(\Phi))$ and

(2) there is no R' satisfying both the above condition and that $\delta_{\mathcal{B}}(R', \mathbb{R}) \sqsubset \delta_{\mathcal{B}}(R, \mathbb{R})$.

Otherwise, $\mathbb{R}_{\mathcal{B}} \otimes \alpha = \mathbb{R}$.

Basic types of preference changes: \odot

- If $\mathbb{R} = (\mathcal{U}, \mathcal{A}, R_1, \dots, R_n, \sigma)$ and $\mathbb{R}' = (\mathcal{U}, \mathcal{A}', R'_1, \dots, R'_m, \sigma)$, then $\mathbb{R} \cup \mathbb{R}' = (\mathcal{U}, \mathcal{A} \cup \mathcal{A}', R_1, \dots, R_n, R'_1, \dots, R'_m, \sigma)$.

Basic types of preference changes: \odot

- If $\mathbb{R} = (\mathcal{U}, \mathcal{A}, R_1, \dots, R_n, \sigma)$ and $\mathbb{R}' = (\mathcal{U}, \mathcal{A}', R'_1, \dots, R'_m, \sigma)$, then $\mathbb{R} \cup \mathbb{R}' = (\mathcal{U}, \mathcal{A} \cup \mathcal{A}', R_1, \dots, R_n, R'_1, \dots, R'_m, \sigma)$.

Definition (Contraction)

Let $\mathcal{B} \subseteq \mathcal{U}$. If $\alpha \in \mathcal{L} \uparrow |\mathbb{R}|$ and $\alpha \notin Cn_{\Phi}(\emptyset)$, then

$\mathbb{R}_{\mathcal{B}} \odot \alpha = \mathbb{R} \cup (\mathbb{R}_{\mathcal{B}} \otimes \neg\alpha)$. Otherwise, $\mathbb{R} \odot \alpha = \mathbb{R}$

Modal preference logic: syntax

Definition

Let \mathbf{P} be a finite set of atomic propositions and $\#(\mathbf{P}) \geq \log_2^{\#(\mathcal{U})}$.

\mathcal{L}^* is defined as follows:

- (1) If $p \in \mathbf{P}$, then $p \in \mathcal{L}^*$,
- (2) If $\phi, \psi \in \mathcal{L}^*$, then $\neg\phi \in \mathcal{L}^*$ and $\phi \wedge \psi \in \mathcal{L}^*$,
- (1) If $\phi \in \mathcal{L}^*$, then $E\phi \in \mathcal{L}^*$ and $\langle \leq \rangle \phi \in \mathcal{L}^*$.

Modal preference logic: semantics

Definition (Modal preference model)

A modal preference model for \mathcal{L}^* is $\mathcal{M} = (\mathcal{U}, \mathcal{A}, \leq_1, \dots, \leq_n, V)$ where \mathcal{U} is the fixed domain, $\mathcal{A} \subseteq \mathcal{U}$ and for any $i \leq n$, \leq_i is a reflexive and transitive relation on \mathcal{A} . V is a fixed function mapping every $x \in \mathcal{U}$ to $V_x : \mathbf{P} \rightarrow \{\top, \perp\}$. If $x, y \in \mathcal{U}$ and $x \neq y$, then $V_x \neq V_y$. The satisfaction relation \Vdash is defined as follows:

- (1) $\mathcal{M}, x \Vdash p$ iff $V_x(p) = \top$,
- (2) $\mathcal{M}, x \Vdash \neg\phi$ iff $(\mathcal{U}, \mathcal{A}, \leq_i, V), x \not\Vdash \phi$, for any $i \leq n$,
- (3) $\mathcal{M}, x \Vdash \phi \wedge \psi$ iff $\mathcal{M}, x \Vdash \phi$ and $\mathcal{M}, x \Vdash \psi$,
- (4) $\mathcal{M}, x \Vdash \langle \leq \rangle \phi$ iff for any $i \leq n$, there exists some y such that $x \leq_i y$ and $\mathcal{M}, y \Vdash \phi$,
- (5) $\mathcal{M}, x \Vdash E\phi$ iff there exists some $y \in \mathcal{U}$, $\mathcal{M}, y \Vdash \phi$.

Translation τ

- Let $N = \{\phi \in \mathcal{L}^* \mid \phi = (\neg)p_1 \wedge \cdots \wedge (\neg)p_i\}$ where $i = \#(\mathbf{P})$,
 $\{p_1, \dots, p_i\} = \mathbf{P}$ and $(\neg)p_j$ is p_j or $\neg p_j$.

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- Let $N^{\mathcal{M}} = \{\phi \in N \mid \mathcal{M}, x \Vdash \phi \text{ for some } x \in \mathcal{U}\}$.

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- Let $N^{\mathcal{U}} = \{\phi \in N \mid \mathcal{M}, x \Vdash \phi \text{ for some } x \in \mathcal{U}\}$.
- The bijective map $f: N^{\mathcal{U}} \rightarrow \mathcal{U}$ can be defined as $f(x) = \phi$ if and only if $\mathcal{M}, x \Vdash \phi$. If $\mathcal{A} \subseteq \mathcal{U}$, then $f(\mathcal{A}) = \{f(x) \mid x \in \mathcal{A}\}$.

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Definition

(1) For any $x, y \in \mathcal{U}$, $\tau(x \leq y) = E(\phi_x \wedge \langle \leq \rangle \phi_y)$ where $f(x) = \phi_x$ and $f(y) = \phi_y$,

(2) If $\alpha = \neg\beta$, then $\tau(\alpha) = \neg\tau(\beta)$,

(3) If $\alpha = \beta \wedge \lambda$, then $\tau(\alpha) = \tau(\beta) \wedge \tau(\lambda)$,

Let $\tau(\Sigma) = \bigcup\{\tau(\alpha) \mid \alpha \in \Sigma\}$ when $\Sigma \subseteq \mathcal{L}$.

Translation τ^*

Definition

For any preference model $\mathbb{R} = (\mathcal{U}, \mathcal{A}, R_1, \dots, R_n, \sigma)$, there is a modal preference model $\tau^*(\mathbb{R}) = \mathcal{M} = (\mathcal{U}, \mathcal{A}, \leq_1, \dots, \leq_n, V)$ where for any $i \leq n$, $\leq_i = R_i$, and vice versa.

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- The relation \models is preserved under the translations τ and τ^* .

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Theorem

If $\alpha \in \mathcal{L}$, $\phi = \tau(\alpha)$ and $\mathcal{M} = \tau^(\mathbb{R})$, then for any $x \in \mathcal{U}$*

$$\mathbb{R} \models \alpha \text{ iff } \mathcal{M}, x \Vdash \phi.$$

Translation τ^*

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$$\mathbb{R} \models \alpha \text{ iff } \mathcal{M}, x \Vdash \phi.$$

- Thus, modal preference model can also be seen as a semantic counterpart of preference set.

Dynamic modal preference logic: syntax

- We extend the static \mathcal{L}^* to dynamic language \mathcal{L}^{*+} .

Definition

\mathcal{L}^{*+} is a minimal set satisfying the following rules:

- (1) if $\phi \in \mathcal{L}^*$, then $\phi \in \mathcal{L}^{*+}$
- (2) if $\phi \in \mathcal{L}^{*+}$, then $[\oplus\psi]\phi, [\ominus\psi]\phi \in \mathcal{L}^{*+}$ for any $\psi \in \mathcal{N}^{\mathcal{L}}$
- (3) if $\phi \in \mathcal{L}^{*+}$, then $[\otimes\psi|\chi]\phi, [\odot\psi|\chi] \in \mathcal{L}^{*+}$ for any $\psi \in \tau(\mathcal{L})$ and $\chi = \bigvee \Phi$ where $\Phi \subseteq \mathcal{N}^{\mathcal{L}}$.

Dynamic modal preference logic: semantics

Definition

Let τ^{*-} denote the inverse function of τ^* .

(1) $\mathcal{M}, x \Vdash [\oplus\phi]\psi$ iff $\tau^*(\tau^{*-}(\mathcal{M}) \oplus y), x \Vdash \psi$ where $\mathcal{M}, y \Vdash \phi$,

(2) $\mathcal{M}, x \Vdash [\ominus\phi]\psi$ iff $\tau^*(\tau^{*-}(\mathcal{M}) \ominus y), x \Vdash \psi$ where $\mathcal{M}, y \Vdash \phi$,

(3) $\mathcal{M}, x \Vdash [\otimes\phi|\chi]\psi$ iff $\tau^*((\tau^{*-}(\mathcal{M}))_{\mathcal{B}} \otimes \alpha), x \Vdash \psi$ where

$\mathcal{B} = \{x \mid \mathcal{M}, x \Vdash \chi\}$ and $\tau(\alpha) = \phi$,

(4) $\mathcal{M}, x \Vdash [\odot\phi|\chi]\psi$ iff $\mathcal{M}, x \Vdash \psi \wedge [\otimes\neg\phi|\chi]\psi$.

Change operators and action modalities

Theorem

For any $\alpha \in \mathcal{L}$ and preference model \mathbb{R} , if $\mathcal{M} = \tau^*(\mathbb{R})$, then for any $y \in \mathcal{U}$,

- (1) $\mathbb{R} \oplus x \vDash \alpha$ iff $\mathcal{M}, y \Vdash [\oplus\phi]\tau(\alpha)$ where $f(\phi) = x$,
- (2) $\mathbb{R} \ominus x \vDash \alpha$ iff $\mathcal{M}, y \Vdash [\ominus\phi]\tau(\alpha)$ where $f(\phi) = x$,
- (3) $\mathbb{R}_{\mathcal{B}} \otimes \beta \vDash \alpha$ iff $\mathcal{M}, y \Vdash [\otimes\tau(\beta) | \bigvee \Phi]\tau(\alpha)$,
- (4) $\mathbb{R}_{\mathcal{B}} \odot \beta \vDash \alpha$ iff $\mathcal{M}, y \Vdash \tau(\alpha) \wedge [\otimes\neg\tau(\beta) | \bigvee \Phi]\tau(\alpha)$,

where $\Phi = \{\alpha \mid \exists z(z \in \mathcal{B} \wedge z = f(\alpha))\}$.

Observations on \otimes

Theorem

The following formulas or rules are valid on class of modal preference models.

(1) $[\otimes\phi|\chi](((\langle\leq\rangle)\psi \rightarrow \langle\leq\rangle\langle\leq\rangle\psi) \wedge (\psi \rightarrow \langle\leq\rangle\psi))$ (closure)

(2) $\phi^* \rightarrow [\otimes\phi|\chi]\phi$ (success)

(3) $\phi \rightarrow (\psi \leftrightarrow [\otimes\phi|\chi]\psi)$ (vacuity)

(4) $\Vdash \phi \leftrightarrow \psi$, **then** $\Vdash [\otimes\phi|\chi]\lambda \leftrightarrow [\otimes\psi|\chi]\lambda$ (extensionality)

(5) $\psi^* \wedge \neg[\otimes\phi|\chi]\neg\psi \rightarrow ([\otimes\phi|\chi][\otimes\psi|\chi]\lambda \leftrightarrow [\otimes(\phi \wedge \psi)|\chi]\lambda)$
(conjunction)

(6) $([\otimes(\phi \vee \psi)|\chi]\lambda \leftrightarrow [\otimes\phi|\chi]\lambda) \vee ([\otimes(\phi \vee \psi)|\chi]\lambda \leftrightarrow [\otimes\psi|\chi]\lambda) \vee [\otimes(\phi \vee \psi)|\chi]\lambda \leftrightarrow [\otimes\phi|\chi]\lambda \wedge [\otimes\psi|\chi]\lambda$ (factoring)

where $\phi^* = \bigwedge\{E(\psi \wedge \langle\leq\rangle\psi) \mid \psi \in \mathbf{f}(|\tau^-(\phi)|)\}$, if $\phi \in \tau(\mathcal{L})$.

Observations on \odot

Theorem

The following formulas or rules are valid on class of modal preference models.

- (1) $[\odot\phi|\chi](\langle\langle\leq\rangle\psi \rightarrow \langle\leq\rangle\langle\leq\rangle\psi) \wedge (\psi \rightarrow \langle\leq\rangle\psi)$ (closure)
- (2) $[\odot\phi|\chi]\psi \rightarrow \psi$ (inclusion)
- (3) $\neg\phi \rightarrow ([\odot\phi|\chi]\psi \leftrightarrow \psi)$ (vacuity)
- (4) $\phi^* \rightarrow [\odot|\chi]\psi$, *for any invalid ϕ* (success)
- (5) $\Vdash \phi \leftrightarrow \psi$, *then* $\Vdash [\odot\phi|\chi]\lambda \leftrightarrow [\odot\psi|\chi]\lambda$ (extensionality)
- (6) $([\odot(\phi \wedge \psi)|\chi]\lambda \leftrightarrow [\odot\phi|\chi]\lambda) \vee ([\odot(\phi \wedge \psi)|\chi]\lambda \leftrightarrow [\odot\psi|\chi]\lambda) \vee [\odot(\phi \wedge \psi)|\chi]\lambda \leftrightarrow [\odot\phi|\chi]\lambda \wedge [\odot\psi|\chi]\lambda$ (factoring)

Observations on relation between \otimes and \odot

Theorem

The following formulas are valid on class of modal preference models.

- (1) $\phi \wedge \phi^* \rightarrow (\psi \leftrightarrow [\odot\phi|\chi][\otimes\phi|\chi]\psi)$ (recovery)
- (2) $[\otimes\phi|\chi]\psi \leftrightarrow [\odot(\neg\phi)|\chi][\otimes\phi|\chi]\psi$ (Levi identity)
- (3) $[\odot\phi|\chi]\psi \leftrightarrow \psi \wedge [\otimes(\neg\phi)|\chi]\psi$ (Harpern identity)

Observations on \oplus and \ominus

Theorem

The following formulas are valid on class of modal preference models.

- (1) $\neg E(\phi \wedge \langle \leq \rangle \phi) \rightarrow ([\ominus \phi] \psi \leftrightarrow \psi)$ (vacuity)
- (2) $[\ominus \psi] E(\phi \wedge \langle \leq \rangle \phi) \leftrightarrow E(\phi \wedge \langle \leq \rangle \phi) \wedge \neg(\phi \leftrightarrow \psi)$, for any $\phi \in f(\mathcal{U})$ (success)
- (3) $[\ominus \phi][\ominus \psi] \lambda \leftrightarrow [\ominus \psi][\ominus \phi] \lambda$ (commutativity)
- (4) $[\ominus \phi]((\langle \leq \rangle \psi \rightarrow \langle \leq \rangle \langle \leq \rangle \psi) \wedge (\psi \rightarrow \langle \leq \rangle \psi))$ (closure)

Observations on \oplus and \ominus , cont.

Theorem (Cont.)

(5) $E(\phi \wedge \langle \leq \rangle \phi) \rightarrow ([\oplus \phi] \psi \leftrightarrow \psi)$ (vacuity)

(6) $[\oplus \psi] E(\phi \wedge \langle \leq \rangle \phi) \leftrightarrow E(\phi \wedge \langle \leq \rangle \phi) \vee (\phi \leftrightarrow \psi)$, for any $\phi \in f(\mathcal{U})$
(success)

(7) $[\oplus \phi][\oplus \psi] \lambda \leftrightarrow [\oplus \psi][\oplus \phi] \lambda$ (commutativity)

(8) $[\oplus \phi](((\langle \leq \rangle \psi \rightarrow \langle \leq \rangle \langle \leq \rangle \psi) \wedge (\psi \rightarrow \langle \leq \rangle \psi))$ (closure)

(9) $\neg E(\phi \wedge \langle \leq \rangle \phi) \rightarrow (\psi \leftrightarrow [\oplus \phi][\ominus \phi] \psi)$ (subtractive recovery)

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- With further studies, it is possible to find more differences and relations of interest between these two framework.



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Thank you very much for your attention!